

1 **Clinical Practice Guideline:** **Spinal Manipulative Therapy for Non-**
2 **Musculoskeletal Conditions and Related**
3 **Disorders**

4
5 **Date of Implementation:** **July 16, 2009**

6
7 **Product:** **Specialty**
8

9
10 **GUIDELINES**

11 American Specialty Health – Specialty (ASH) considers spinal manipulation not medically
12 necessary for the treatment of non-musculoskeletal conditions and related disorders
13 including, but not limited to:

- 14 • Asthma
- 15 • ADHD
- 16 • Autism spectrum disorders
- 17 • Dysmenorrhea
- 18 • Hypertension
- 19 • Infantile colic
- 20 • Nocturnal enuresis
- 21 • Otitis media

22
23 The set of conditions above represents those non-musculoskeletal conditions which have
24 been found in the literature relative to spinal manipulation either through RCTs, systematic
25 reviews, or both.

26
27 This guideline applies to all patient populations, demographic and clinical variables. This
28 guideline does not preclude the possibility of there being intervention within the scope of
29 practice other than spinal manipulation which may be found to be medically necessary for
30 non-musculoskeletal conditions.

31
32 **EVIDENCE REVIEW**

33 **Asthma**

34 Several reviews have examined the effectiveness of spinal manipulation for the treatment
35 of asthma. Ferrance and Miller (2010) reported that asthma is the most common chronic
36 disease of childhood and in the United States effects more than six million children. In
37 children older than age 3 it is the most common cause of chronic cough. It is hypothesized
38 that spinal manipulation may aid in reducing restriction of the thoracic cage, but no
39 substantial evidence supports this theory (Ferrance and Miller, 2010).

1 Ferrance and Miller (2010) reported on 6 studies of chiropractic care for the treatment of
2 asthma. These studies were not evaluated for bias or quality, nor were exclusion/inclusion
3 criteria for the studies provided. The authors concluded that in general, there is little
4 evidence for improvement in objective measures, such as lung function, but patients do
5 report improvement in subjective symptoms and overall quality of life (Ferrance and
6 Miller, 2010).

7
8 Hondras et al. performed a Cochrane review which was most recently updated in 2005. Of
9 the 3 studies included in the review, 2 were randomized controlled studies of pediatric
10 populations with ages from 6-16 years. However, only 1 of these studies had spinal
11 manipulation as a treatment. While there were slight increases in objective measures, they
12 were not clinically significant and there were no statistically significant changes from
13 baseline measurements. The authors concluded that given the small number of studies
14 found, there is inconclusive evidence regarding the efficacy of spinal manipulation for
15 asthma (Hondras et al., 2005).

16
17 Kaminskyj et al. (2010) reviewed 8 articles regarding chiropractic treatment of asthma.
18 The articles were scored with a modified Down's and Black checklist as they ranged from
19 surveys, questionnaires and case reports, to randomized controlled trials and cross-over
20 trials. One article received a score of 22 out of 27 possible points, which was 'Good.' Three
21 articles received scores from 20 to 15 points, which were 'Moderate', and the remaining 4
22 articles scored less than 11 points, which were 'Poor.' Objective measures, such as
23 spirometry readings of lung function, showed some improvement, but none were
24 statistically significant. Subjective measures, such as quality of life, number of asthma
25 attacks, and medication use had noticeable trends in improvement, but again were not
26 statistically significant. The authors did note that some positive clinical changes were seen
27 in a number of children who were having spinal manipulation to treat asthma. Problems
28 that the authors identified with the current literature is a lack of cohesiveness in reporting
29 the exact type of treatment provided and a wide variety of outcome measures. While more
30 evidence of a high quality is needed to make definitive statements recharging chiropractic
31 treatment of asthma, the authors concluded that spinal manipulation may be considered as
32 an adjunct to concurrent medical treatment and recommended a trial of care to determine
33 the overall benefit of chiropractic care to manage their condition (Kaminskyj et al., 2010).

34
35
36 While performing their search for pediatric health conditions that utilize spinal
37 manipulative therapy, Gleberzon et al. (2012) found 2 studies that used spinal manipulation
38 for the treatment of asthma. Studies were evaluated with the Sackett instrument and scored
39 very high (45 and 48 points out of a possible 50 points). One of the studies found significant
40 improvements in quality of life, even after 1 year of follow-up, but no changes in lung
41 function. The other study showed no statistical changes in subjective or objective
42 measurements. The authors suggest that a potential reason for a lack of literature regarding

1 pediatric populations involves the complications of research with this specific age group
2 as they are usually excluded from larger scale trials. The authors suggest future studies
3 investigating spinal manipulation and asthma focus more on daily activity outcomes, such
4 as reductions in medications, and less on lung functions. The authors stated there is
5 inconclusive evidence for the efficacy of spinal manipulation and the treatment of asthma
6 (Gleberzon et al., 2012).

7
8 Clar et al. (2014) also found 3 studies investigating the effectiveness of chiropractic for the
9 treatment of asthma in children. The studies reported no significant effects of spinal
10 manipulation in any of the outcomes measured. However, the authors note the quality of
11 evidence of the studies was poor, which led them to conclude there was inconclusive
12 evidence for using spinal manipulation in the treatment of asthma (Clar et al., 2014).

13 14 **Attention Deficit Hyperactivity Disorder (ADHD)**

15 In 2010, Karpouzis et al. performed a systematic review investigating whether chiropractic
16 care was able to reduce symptoms of ADHD. The authors used the definition of ADHD
17 found in the Diagnostic and Statistical Manual of Mental Disorders 4th Edition Text
18 Revision (DSM-IV-TR); inappropriate, chronic levels of inattention, hyperactivity and
19 impulsivity. Parents with children who have been diagnosed with ADHD seek CAM
20 therapies in varying rates across the world, from 12% in Florida to 68% in Melbourne
21 Australia. Most cite concerns with appropriateness of medication for ADHD treatment as
22 a reason to seek CAM therapies for their children. The authors found 58 initial citations,
23 but upon review none of the studies met the pre-determined inclusion criteria. The authors
24 suggest several reasons for this, including studies not being high enough quality of
25 evidence to meet inclusion criteria, non-uniform reporting guidelines of results, and studies
26 with high levels of bias. Thus, the authors classified their systematic review as an ‘empty
27 review,’ meaning there is no current high-quality evidence to support chiropractic
28 treatment for pediatric and adolescent ADHD. The authors do note that limitations for their
29 study include only searching for articles in English and possible publication bias as
30 unpublished literature and abstracts from conference proceedings were not searched. The
31 authors also mention that although there have been no randomized controlled trials for
32 ADHD treatment with chiropractic care, there have been 15 case studies and 3 case series
33 reporting some success. Lastly, the authors suggest that guidelines such as those in place
34 by the CONSORT group are followed for chiropractors who wish to conduct research in
35 pediatric and adolescent ADHD (Karpouzis et al., 2010).

36
37 Ferrance and Miller also investigated ADHD in their 2010 review of chiropractic
38 management of non-musculoskeletal conditions in children and adolescents. They also cite
39 a lack of high-quality evidence of the effectiveness of chiropractic manipulation for ADHD
40 but note that larger and more rigorous studies are needed before conclusive
41 recommendations can be made (Ferrance and Miller, 2010). Holuszko et al. performed a
42 systematic review in 2015 examining chiropractic treatment and neurodevelopmental

1 disorders. According to Pediatric Chiropractic, by Anrig and Plaucher,
 2 neurodevelopmental disorders are disabilities associated primarily with the functioning of
 3 the neurological system and brain and include but are not limited to Attention Deficit
 4 Disorder (ADD), Attention Deficit Hyperactivity Disorder (ADHD), and a variety of other
 5 learning and sensory processing disorders. The authors found 51 total articles, of which 37
 6 were case files and or commentaries. The authors also comment that the predominant
 7 neurodevelopmental disorders associated with chiropractic care were ADHD and ADD and
 8 are the focus of 2 of the 3 randomized controlled studies that were found. While theories
 9 regarding mechanisms of how chiropractic treatment affects the central nervous system are
 10 mentioned as support for chiropractic treatment of ADHD and ADD, there still remains a
 11 lack of quality evidence to support this statement.

12 **Dysmenorrhea**

13 A systematic review by Proctor et al. (2006) performed under the Cochrane Collaboration
 14 evaluated the evidence for SMT for primary and secondary dysmenorrhea. The review
 15 identified four trials. Three of these trials were very small ($Ns = 44, 26, 10$). These smaller
 16 trials did show some evidence in favor of SMT compared to sham treatment. The larger
 17 trial ($N = 138$) did not show such an effect.

18 **Hypertension**

19 An RCT on spinal manipulation and hypertension that was not included in the systematic
 20 reviews warrants attention (Bakris et al., 2007). This pilot study ($N = 50$) compared a low
 21 force, upper cervical manipulation to a sham procedure for the treatment of hypertension.
 22 The study results indicate a very large reduction (17 mm Hg vs. 3 mm Hg) in systolic blood
 23 pressure at a highly statistically significant level ($P < 0.0001$). The study is self-described
 24 as a “double-blinded” study. The publication describes how patients were blinded as to
 25 their treatment assignment—the patient’s perception of the very low force administered in
 26 the active treatment is easily replicated by a sham procedure that alters slightly the
 27 positioning of the contact hand. The publication does not describe if or how the treating
 28 doctor was blinded as to the procedure he was administering, presumably the second part
 29 of the double-blinding. More importantly, the publication does not indicate whether or not
 30 the outcomes assessment (the measurement of blood pressure) was blinded. Mangum et al.
 31 (2012) performed a qualitative literature review on the efficacy of SMT for treating
 32 hypertension. They concluded that given the risk of bias, there is currently a lack of
 33 evidence to support the use of SMT as a therapy for the treatment of hypertension.
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 35

36 **Infantile Colic**

37 Ferrance and Miller discussed ‘infant crying’ in their 2010 review of non-musculoskeletal
 38 conditions in children. They acknowledge anecdotal accounts of babies with excess crying
 39 being successfully treated by chiropractors, but because there is not yet a mechanism of
 40 what caused excessive crying it is difficult to research what will best resolve excessive
 41 crying. A possible solution the authors suggest is to develop a classification system. This
 42

1 would allow infants with excessive crying to be grouped, which could demonstrate
2 improved clinical outcomes, as infants with gastrointestinal distress may need different
3 treatments than infants crying due to nerve irritation or other possible causes of crying. The
4 authors found one study that attempted to do this but note that sample sizes were small,
5 and caregivers were not blinded from the treatments the infants received. The authors
6 concluded that chiropractic care appears to provide some benefit to reducing crying but are
7 unsure if it is due to a reduction in parental anxiety, or due to an actual change to the
8 infant’s condition and recommend further research in this area (Ferrance and Miller, 2010).

9
10 Alcantara et al. performed a systematic review of chiropractic care for infants with colic in
11 2011. Upon searching databases and gray literature, the authors found 26 studies that met
12 their inclusion criteria: 3 clinical trials, 2 survey studies, 6 case reports, 2 case series, 4
13 cohort studies, 5 commentaries, and 4 reviews of the literature. These studies, however,
14 used various definitions of what colic was and how to determine if the infant actually had
15 colic. A classic definition of colic comes from Wessel and is defined as ‘crying during at
16 least 3 hours per day on at least 3 days of at least 3 weeks.’ Some studies simply reported
17 ‘excessive crying’ and some studies included infants who were younger than 3 weeks of
18 age. Other obstacles the authors found in assessing the literature include non-
19 randomization into treatment groups, varying treatments used as comparisons to
20 chiropractic care, and varying types of chiropractic care. While the authors did not perform
21 a formal measurement of bias in the articles that were reviewed, they did comment on the
22 fact that bias likely existed in several articles due to poor methods. The authors also
23 commented on the safety of chiropractic care for infants with colic; no adverse events were
24 reported for chiropractic care, but several side effects were reported for treatments such as
25 medications and changes to infant formulas. In conclusion, Alcantara et al. support
26 chiropractic for infants with colic as a safe and effective treatment but also recognize that
27 there is a lack of high-quality evidence in this area and encourage more rigorous
28 investigation (Alcantara et al., 2011).

29
30 A Cochrane review was performed in 2012 by Dobson et al. to evaluate the results of
31 manipulative therapies for infantile colic. Articles included in the review were randomized
32 trials evaluating the effectiveness of chiropractic care, osteopathy or cranial osteopathy
33 alone or in conjunction with other infantile colic treatments. The authors propose several
34 mechanisms for why manipulation may reduce colic including high pressure on the infant
35 head from the birth process, somatovisceral reflex involvement, or irritation of the vagus
36 nerve. The authors identified 6 studies for inclusion representing 325 infants. Daily hours
37 of crying were used as the primary outcome measurement for 5 of the 6 studies. All studies
38 reported a high drop-out rate and adverse events were only investigated in 1 study (none
39 occurred). A combined data analysis of the studies suggested a benefit from receiving
40 manual therapy but only 2 of these studies were evaluated as having a low risk of bias.
41 Another study used infant sleeping time as the primary outcome measurement and found
42 statistically significant improvement in infants who received manipulative therapy. Age of

1 infants in the studies varied as did type and duration of treatment. The authors also worried
2 about bias; when parents were blinded to the treatment their infant received there was no
3 statistical significance between treatment groups. While there appears to be an overall
4 positive effect of chiropractic manipulation to reduce the amount of crying time in infants
5 with colic, not enough quality evidence is available to make a definitive recommendation.
6 The authors suggest more rigorous research with random allocation to treatment groups
7 and follow up assessments performed by individuals who are blinded to the treatment the
8 infant receives (Dobson et al., 2012). Lucassen (2015) conducted a systematic overview
9 aiming to answer what the effects of treatments for colic in infants are. According to their
10 review, spinal manipulation does not appear to reduce the duration of crying associated
11 with infantile colic, nor does it appear to facilitate recovery.

12 13 **Nocturnal Enuresis**

14 A Cochrane review of complementary and miscellaneous interventions for nocturnal
15 enuresis in children was performed by Huang et al. in 2011. Nocturnal enuresis occurs
16 when there is involuntary loss of control of the bladder at night when the child otherwise
17 has daytime bladder control and there is a lack of an organic disease (such as diabetes
18 mellitus). While enuresis is usually self-resolving and pathologically benign, the inability
19 to control the bladder may cause psychological distress for both the child and the care giver.
20 The exact cause of nocturnal enuresis is unclear, but there is a possible genetic component
21 which may affect the physical and physiological maturity of the bladder. Other factors may
22 include sleep disorders, constipation, and diet. Numerous interventions have been reported
23 as treatments for nocturnal enuresis including those from allopathic and complementary
24 and alternative medicine approaches. The authors performed a literature search of
25 complementary and alternative treatments and found 3 trials using chiropractic as
26 treatment, but small sample size and flawed methods give these studies a high risk of bias.
27 There appears to be weak evidence for the effectiveness of chiropractic care for the
28 treatment of nocturnal enuresis, but the authors encourage more quality research in this
29 area (Huang et al., 2011).

30 31 **Otitis Media**

32 Ferrance and Miller briefly discuss otitis media in their 2010 review of chiropractic
33 management of non-musculoskeletal conditions in children. The primary treatment of otitis
34 media had been the use of antibiotics, but recently the recommended treatment has changed
35 to a ‘wait and see’ approach. Ferrance and Miller found 1 randomized trial using full spine
36 osteopathic manipulation for treatment of otitis media. While there did seem to be
37 improvement in the treatment group and the evaluating physicians were blinded to
38 treatment, mothers of the participants were not which is a source of bias. The authors
39 conclude there is a lack of evidence to make a recommendation for chiropractic care in the
40 treatment of otitis media (Ferrance and Miller, 2010).

1 In 2012 Pohlman and Holton-Brown performed a literature review of otitis media (OM) in
2 children to outline the diagnosis of otitis media, type of spinal manipulative therapy (SMT)
3 used to treat OM, and any adverse events associated with the manipulation. Pohlman and
4 Holton-Brown (2012) discuss several possible reasons listed by both the chiropractic and
5 osteopathic professions for why SMT may resolve OM. One theory is that SMT causes
6 biomechanical changes in sympathetic or parasympathetic nerve activity. Another is that
7 anatomical structures that directly affect the Eustachian tube may become restricted and
8 prevent proper lymphatic flow and drainage; SMT may reduce hypertonicity on these
9 structures and allow for proper function. Since it was already determined there were few
10 randomized controlled trials examining OM and SMT, the authors included all levels of
11 evidence in their literature review as long as they were in participants 6 years or younger
12 and addressed SMT or osteopathic manipulative therapy to spinal segments or cranial
13 bones. The authors' search revealed 17 commentaries, 15 case reports, 5 case series, 8
14 reviews and 4 clinical trials. The authors reviewed the quality of the articles and determined
15 there appears to be a benefit from SMT in pediatric patients with OM and relatively low
16 risk of adverse events. However, the authors also note that the majority of the literature
17 found was in items lower on the evidence pyramid and more high-quality evidence needs
18 to be done. The authors suggest a pragmatic study to explore the effect of SMT on OM in
19 a 'real-world' setting and using established protocols for both diagnosis as well as
20 treatment of OM. Thus, there is currently no evidence to support or refute using SMT for
21 OM and no evidence that adverse events occur as a result of SMT (Pohlman and Holton-
22 Brown, 2012).

23
24 Driehuis et al. (2019) conducted a systematic review of the evidence for effectiveness and
25 harms of specific SMT techniques for infants, children, and adolescents. Of the 1,236
26 identified studies, 26 studies were eligible. Infants and children/adolescents were treated
27 for various (non) musculoskeletal indications, hypothesized to be related to spinal joint
28 dysfunction. Studies examining the same population, indication and treatment comparison
29 were scarce. Due to very low-quality evidence, it is uncertain whether gentle, low-velocity
30 mobilizations reduce complaints in infants with colic or torticollis, and whether high-
31 velocity, low-amplitude manipulations reduce complaints in children/adolescents with
32 autism, asthma, nocturnal enuresis, headache, or idiopathic scoliosis. Five case reports
33 described severe harms after HVLA manipulations in four infants and one child. Authors
34 found the evidence was of very low-quality that prevented drawing any conclusions about
35 the effectiveness of specific SMT techniques in infants, children, and adolescents.

36 37 **Immune System Function**

38 Chow et al. (2021) sought to identify, appraise, and synthesize the scientific literature on
39 the efficacy and effectiveness of SMT in preventing the development of infectious disease
40 or improving disease-specific outcomes in patients with infectious disease and to examine
41 the association between SMT and selected immunological, endocrine, and other
42 physiological biomarkers. Claims that spinal manipulative therapy (SMT) can improve

1 immune function have increased substantially during the COVID-19 pandemic and may
2 have contributed to the rapid spread of both accurate and inaccurate information (referred
3 to as an infodemic by the World Health Organization). Randomized clinical trials and
4 cohort studies were included. Eligible studies were critically appraised, and evidence with
5 high and acceptable quality was synthesized. A total of 2,593 records were retrieved; after
6 exclusions, 50 full-text articles were screened, and 16 articles reporting the findings of 13
7 studies comprising 795 participants were critically appraised. The literature search found
8 no clinical studies that investigated the efficacy or effectiveness of SMT in preventing the
9 development of infectious disease or improving disease-specific outcomes among patients
10 with infectious disease. Eight articles reporting the results of 6 high- and acceptable-quality
11 RCTs comprising 529 participants investigated the effect of SMT on biomarkers. Spinal
12 manipulative therapy was not associated with changes in lymphocyte levels or
13 physiological markers among patients with low back pain or participants who were
14 asymptomatic compared with sham manipulation, a lecture series, and venipuncture
15 control groups. Spinal manipulative therapy was associated with short-term changes in
16 selected immunological biomarkers among asymptomatic participants compared with
17 sham manipulation, a lecture series, and venipuncture control groups. Authors concluded
18 that based on this systematic review of 13 studies, no clinical evidence was found to support
19 or refute claims that SMT was efficacious or effective in changing immune system
20 outcomes. Although there were limited preliminary data from basic scientific studies
21 suggesting that SMT may be associated with short-term changes in immunological and
22 endocrine biomarkers, the clinical relevance of these findings is unknown. Given the lack
23 of evidence that SMT is associated with the prevention of infectious diseases or
24 improvements in immune function, further studies should be completed before claims of
25 efficacy or effectiveness are made.

26 27 **Non-musculoskeletal Disorders**

28 Côté et al. (2021) convened a Global Summit of international scientists to conduct a
29 systematic review of the literature to determine the efficacy and effectiveness of SMT for
30 the primary, secondary and tertiary prevention of non-musculoskeletal disorders. The
31 summit was attended by 50 researchers from 8 countries and 28 observers from 18
32 chiropractic organizations. At the summit, participants critically appraised the literature
33 and synthesized the evidence. The methodological quality of eligible studies was assessed
34 independently by reviewers using the Scottish Intercollegiate Guidelines Network (SIGN)
35 criteria for randomized controlled trials. The final risk of bias and evidence tables were
36 reviewed by researchers who attended the Global Summit and 75% (38/50) had to approve
37 the content to reach consensus. Of the 3,874 articles screened, the eligibility of 32 articles
38 was evaluated at the Global Summit and 16 articles were included in the systematic review.
39 The synthesis included six randomized controlled trials with acceptable or high
40 methodological quality (reported in seven articles). These trials investigated the efficacy
41 or effectiveness of SMT for the management of infantile colic, childhood asthma,
42 hypertension, primary dysmenorrhea, and migraine. None of the trials evaluated the

1 effectiveness of SMT in preventing the occurrence of non-musculoskeletal disorders.
2 Consensus was reached on the content of all risk of bias and evidence tables. All
3 randomized controlled trials with high or acceptable quality found that SMT was not
4 superior to sham interventions for the treatment of these non-musculoskeletal disorders.
5 Six of 50 participants (12%) in the Global Summit did not approve the final report. The
6 systematic review included six randomized clinical trials (534 participants) of acceptable
7 or high quality investigating the efficacy or effectiveness of SMT for the treatment of non-
8 musculoskeletal disorders. Authors concluded there no evidence exists of an effect of SMT
9 for the management of non-musculoskeletal disorders including infantile colic, childhood
10 asthma, hypertension, primary dysmenorrhea, and migraine. This finding challenges the
11 validity of the theory that treating spinal dysfunctions with SMT has a physiological effect
12 on organs and their function. Governments, payers, regulators, educators, and clinicians
13 should consider this evidence when developing policies about the use and reimbursement
14 of SMT for non-musculoskeletal disorders.

15
16 Goertz et al. (2021) discussed the findings of a recent systematic review of non-
17 musculoskeletal disorders (Côté et al. (2021) that demonstrates the potential for faulty
18 conclusions and misguided policy implications, and to offer an alternate interpretation of
19 the data using present models and criteria. These authors participated in a chiropractic
20 meeting (Global Summit) that aimed to perform a systematic review of the literature on the
21 efficacy and effectiveness of mobilization or spinal manipulative therapy (SMT) for the
22 primary, secondary, and tertiary prevention, and treatment of non-musculoskeletal
23 disorders. After considering an early draft of the resulting manuscript, these authors
24 identified points of concern and therefore declined authorship. This article was developed
25 to describe those concerns about the review and its conclusions. Goertz et al. (2021)
26 identified three main concerns: the inherent limitations of a systematic review of 6 articles
27 on the topic of SMT for non-musculoskeletal disorders, the lack of biological plausibility
28 of collapsing 5 different disorders into a single category, and considerations for best
29 practices when using evidence in policymaking. These authors propose that the following
30 conclusion is more consistent with a review of the 6 articles. The small cadre of high- or
31 moderate-quality randomized controlled trials reviewed in this study found either no or
32 equivocal effects from SMT as a stand-alone treatment for infantile colic, childhood
33 asthma, hypertension, primary dysmenorrhea, or migraine, and found no or low-quality
34 evidence available to support other non-musculoskeletal conditions. Therefore, further
35 research is needed to determine if SMT may have an effect in these and other non-
36 musculoskeletal conditions. Until the results of such research are available, the benefits of
37 SMT for specific or general non-musculoskeletal disorders should not be promoted as
38 having strong supportive evidence. Further, a lack of evidence cannot be interpreted as
39 counterevidence, nor used as evidence of falsification or verification. Authors concluded
40 that based on the available evidence, some statements generated from the Summit were
41 extrapolated beyond the data, have the potential to misrepresent the literature, and should
42 be used with caution. Given that none of the trials included in the literature review were

1 definitively negative, the current evidence suggests that more research on non-
2 musculoskeletal conditions is warranted before any definitive conclusions can be made.
3 Governments, insurers, payers, regulators, educators, and clinicians should avoid using
4 systematic reviews in decisions where the research is insufficient to determine the clinical
5 appropriateness of specific care.

6
7 Milne et al. (2022) sought to identify and map the available evidence regarding
8 effectiveness and harms of spinal manipulation and mobilization for infants, children and
9 adolescents with a broad range of conditions; and identify and synthesize policies,
10 regulations, position statements and practice guidelines informing their clinical use.
11 Infants, children, and adolescents (birth to < 18 years) with any childhood
12 disorder/condition who received an intervention of spinal manipulation and mobilization
13 were included as participants. Eighty-seven articles were included. Methodological quality
14 of articles varied. Spinal manipulation and mobilization may be utilized clinically to
15 manage pediatric populations with adolescent idiopathic scoliosis (AIS), asthma, attention
16 deficit hyperactivity disorder (ADHD), autism spectrum disorder (ASD), back/neck pain,
17 breastfeeding difficulties, cerebral palsy (CP), dysfunctional voiding, excessive crying,
18 headaches, infantile colic, kinetic imbalances due to suboccipital strain (KISS), nocturnal
19 enuresis, otitis media, torticollis and plagiocephaly. This descriptive synthesis revealed: no
20 evidence to explicitly support the effectiveness of spinal manipulation or mobilization for
21 any condition in pediatric populations. Mild transient symptoms were commonly described
22 in randomized controlled trials and on occasion, moderate-to-severe adverse events were
23 reported in systematic reviews of randomized controlled trials and other lower quality
24 studies. There was strong to very strong evidence for 'no significant effect' of spinal
25 manipulation for managing asthma (pulmonary function), headache and nocturnal enuresis,
26 and inconclusive or insufficient evidence for all other conditions explored. There is
27 insufficient evidence to draw conclusions regarding spinal mobilization to treat pediatric
28 populations with any condition. Authors concluded that their descriptive synthesis of the
29 collective findings does not provide support for spinal manipulation or mobilization in
30 pediatric populations for any condition. Increased reporting of adverse events is required
31 to determine true risks. Randomized controlled trials examining effectiveness of spinal
32 manipulation and mobilization in pediatric populations are warranted.

33
34 Kovanur Sampath et al. (2024) synthesized the current level of evidence for spinal
35 manipulation (SM) in influencing the autonomic nervous system (ANS) in healthy and/or
36 symptomatic population in a systematic review. Overall, there was low quality evidence
37 that SM did not influence any measure of ANS including heart rate variability (HRV), oxy-
38 hemoglobin, blood pressure, epinephrine, and nor-epinephrine. However, there was low
39 quality evidence that cervical spine manipulation may influence high frequency parameter
40 of HRV, indicating its influence on the parasympathetic nervous system. Authors
41 concluded that when compared with control or sham interventions, SM did not alter the

1 ANS. Due to invalid methodologies and the low quality of included studies, findings must
2 be interpreted with great caution.

3 4 **SAFETY**

5 The potential risk of a major complication due to spinal manipulation is rare (Clar et al.,
6 2014; Hurwitz, et al., 1996). A summary of the literature reviewed, including a systematic
7 review by Hawk et al. (2007) and Clar et al. (2014), concluded that adverse events were
8 rare, transient, and mild. Not all of the reviews addressed the question of adverse events
9 or safety, but those that did noted that SMT did not represent a safety risk to patients.
10 Without clear evidence to support SMT for the treatment of non-musculoskeletal and
11 related disorders, the potential for substitution harm must be considered by the patient and
12 clinician.

13
14 Cervical mobilization and manipulation have been suspected of creating a cervical artery
15 dissection (CAD) as an adverse event. However, these assumptions are based on case
16 studies which are unable to establish direct causality. Chaibi and Bjørn Russel (2019)
17 conducted a literature review to provide clinicians with an updated step-by-step risk-
18 benefit assessment strategy tool to (a) facilitate clinicians understanding of CAD, (b)
19 appraise the risk and applicability of cervical manual-therapy, and (c) provide clinicians
20 with adequate tools to better detect and exclude CAD in clinical settings. Cervical artery
21 dissection refers to a tear in the internal carotid or the vertebral artery that results in an
22 intramural hematoma and/or aneurysmal dilatation. Although cervical artery dissection is
23 thought to occur spontaneously and is rare, physical trauma to the neck, especially
24 hyperextension and rotation, has been reported as a trigger. Headache and/or neck pain is
25 the most common initial symptom of cervical artery dissection. Other symptoms include
26 Horner's syndrome and lower cranial nerve palsy. Both headache and/or neck pain are
27 common symptoms and leading causes of disability. Because manual-therapy interventions
28 can alleviate headache and/or neck pain, many patients seek manual therapists, such as
29 chiropractors and physiotherapists to help them manage symptoms. There is debate as to
30 whether CAD symptoms lead the patient to seek cervical manual-therapy or whether the
31 cervical manual therapy provoked CAD along with the non-CAD presenting complaints.
32 Thus, practitioners need to be diligent with subjective and objective evaluations of patients
33 to understand the risk for CAD and whether to address its potential existence.

34 35 **PRACTITIONER SCOPE AND TRAINING**

36 Practitioners should practice only in the areas in which they are competent based on their
37 education training and experience. Levels of education, experience, and proficiency may
38 vary among individual practitioners. It is ethically and legally incumbent on a practitioner
39 to determine where they have the knowledge and skills necessary to perform such services.

40
41 It is best practice for the practitioner to appropriately render services to a patient only if
42 they are trained, equally skilled, and adequately competent to deliver a service compared

1 to others trained to perform the same procedure. If the service would be most competently
 2 delivered by another health care practitioner who has more skill and expert training, it
 3 would be best practice to refer the patient to the more expert practitioner.

4
 5 Best practice can be defined as a clinical, scientific, or professional technique, method, or
 6 process that is typically evidence-based and consensus driven and is recognized by a
 7 majority of professionals in a particular field as more effective at delivering a particular
 8 outcome than any other practice (Joint Commission International Accreditation Standards
 9 for Hospitals, 2020).

10
 11 Depending on the practitioner’s scope of practice, training, and experience, a member’s
 12 condition and/or symptoms during examination or the course of treatment may indicate the
 13 need for referral to another practitioner or even emergency care. In such cases it is prudent
 14 for the practitioner to refer the member for appropriate co-management (e.g., to their
 15 primary care physician) or if immediate emergency care is warranted, to contact 911 as
 16 appropriate. See the *Managing Medical Emergencies (CPG 159 – S)* clinical practice
 17 guideline for information.

18 19 **References**

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