

1 **Clinical Practice Guideline: Cervical Pillow and Cervical Supports**

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3 **Date of Implementation: December 20, 2012**

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5 **Product: Specialty**

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8 **GUIDELINES**

9 Use of cervical pillows of any size, shape or material for the treatment of common  
10 musculoskeletal pain syndromes, common sleep apnea, improving the quality of sleep, or  
11 the management of cervical spine posture is considered not medically necessary because  
12 scientific literature is inconclusive regarding their clinical effectiveness. However, short  
13 term use of a cervical pillow for patients unresponsive to other established treatments may  
14 be clinically appropriate.

15  
16 The use of cervical collars to limit range of motion in a post-traumatic, potentially unstable  
17 spine, is medically necessary. However, use of cervical collars is not medically necessary  
18 for pain and disability reduction in the absence of spinal instability because the scientific  
19 literature is inconclusive regarding the clinical effectiveness.

20  
21 **HCPCS CODES AND DESCRIPTIONS**

<b>HCPCS Code</b>	<b>HCPC Code Description</b>
L0120	Cervical, flexible, nonadjustable, prefabricated, off-the-shelf (foam collar)
L0150	Cervical, semi-rigid, adjustable molded chin cup (plastic collar with mandibular/occipital piece)
L0160	Cervical, semi-rigid, wire frame occipital/mandibular support, prefabricated, off-the-shelf
L0170	Cervical, collar, molded to patient model
L0172	Cervical, collar, semi-rigid thermoplastic foam, two-piece, prefabricated, off-the-shelf
L0174	Cervical, collar, semi-rigid, thermoplastic foam, two piece with thoracic extension, prefabricated, off-the-shelf
L0180	Cervical, multiple post collar, occipital/mandibular supports, adjustable
L0190	Cervical, multiple post collar, occipital/mandibular supports, adjustable cervical bars (SOMI, Guilford, Taylor types)

L0200	Cervical, multiple post collar, occipital/mandibular supports, adjustable cervical bars, and thoracic extension
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**DESCRIPTION/BACKGROUND**

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**Cervical Pillows**

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Patients are often seeking recommendations about pillows in an effort to decrease cervical and shoulder pain, and improve their ability to sleep. Poor sleep is often seen as a significant factor in neck pain leading to a decrease in quality of life, and ability to do their daily activities. Manufacturers and distributors of cervical pillows make many claims about the positive effects of cervical pillows. Cervical pillows are currently marketed claiming to provide better support for the cervical spine and improved posture. The claims include the ability to restore and maintain the proper cervical curve. The research that supports the claims for these pillows is lacking.

12

13

The studies available for review focus on either the composition of the pillow, or the shape of the pillow. The composition of the pillows studied included polyester, foam, feather, latex, and water based. One study included a pillow made with sodium sulfate and ceramic fiber (Kawabata & Tokura, 2016). The pillow design factors were described as standard, cradle, cervical, and shoulder. Some of these designs were slightly different as many of the pillows studied were from specific manufacturers and defined their designs differently compared to other manufacturers. One other factor that was not standard throughout the studies was subject sleeping position. Studies would use either the side lying posture or the back lying posture.

22

23

**Cervical Collars/Orthoses**

24

Cervical collars have been recommended for conservative care of the cervical spine and to stabilize the spine after injury. They have been prescribed to support the neck and limit motion, to prevent pain, protect spinal instability pre- and post-surgery, and as emergency protection post-trauma. They have also been recommended to prevent injury in sports.

28

29

The various types of cervical collars are either soft foam or rigid devices. The soft collars are easy to use and very flexible. Rigid collars provide much more support and are utilized post-fusion, and for unstable fractures. The Philadelphia collar and the Aspen collar are examples of this orthosis. A Miami collar is a variation of the Philadelphia collar and adds more support to the thoracic spine. A cervical thoracic orthosis (CTO) is also used to stabilize the upper cervical vertebrae and is known as a sternal occipital mandibular immobilizer (SOMI). There is a group of cervical collars that have been developed for football players that are made of closed cell polystyrene foam and padding. These collars include the Cowboy collar, Bullock collar, Kerr collar, and the A-force neck collar.

37

## 1 REVIEW OF THE LITERATURE

### 2 Cervical Pillow

#### 3 **Shape and Size**

4 Lavin et al. (1997) compared three (3) pillows and the effect on pain intensity, pain relief,  
 5 quality of sleep, disability, and overall satisfaction. Twenty-one (21) male and twenty (20)  
 6 female subjects with chronic neck pain evaluated the participants' usual pillow, a roll  
 7 pillow, and a water-based pillow. Outcome measures were the visual analog scale (VAS),  
 8 Sleep Questionnaire, Sickness Impact Profile (SIP), and a satisfaction scale. Length of the  
 9 study was five (5) weeks. They found the water-based pillow was associated with reduced  
 10 morning pain intensity, increased pain relief, and improved quality of sleep. Duration of  
 11 sleep was significantly shorter for the roll pillow. SIP findings showed a significant  
 12 advantage for the water-based pillow. Six (6) of the forty-one (41) subjects dropped out  
 13 from the study. Ten (10) of the remaining subjects had severe discomfort with the roll  
 14 pillow and stopped using it, without any mention of which pillow they substituted at that  
 15 time. This study had no washout period and could not account for an accumulative effect.

16  
 17 Hagino et al. (1998) compared a roll-shaped cervical pillow, the Align-Right cervical  
 18 pillow (ARCP), to the participants' usual pillow in regard to neck pain severity. Both  
 19 morning and evening neck pain were evaluated using the visual analog scale (VAS). A  
 20 secondary outcome was use of pain medication. Twenty-eight (28) subjects with chronic  
 21 neck pain were evaluated over a four (4) week period. There were statistically significant  
 22 decreases in neck/shoulder pain severity suggesting that the ARCP might be an effective  
 23 option in decreasing neck pain. There was not a significant reduction in pain medication  
 24 usage. This was a pre/post intervention study and would need further clinical trials. It is  
 25 notable that two participants dropped out of the study because of the pain caused by the  
 26 ARCP.

27  
 28 Ambrogio et al. (1998) evaluated three (3) different pillows with thirty-five (35)  
 29 fibromyalgia patients (FMS). The pillows were a cervical pillow (Shape of Sleep) with a  
 30 more rigid support, a standard pillow with two neck ruffs, and a standard pillow. Outcome  
 31 measures included the visual analog scale (VAS) and the Fibromyalgia Impact  
 32 Questionnaire (FIQ). Most of the participants (62.9%) preferred the Shape of Sleep pillow.  
 33 Although there was a trend towards improvement in the VAS and FIQ, it was not  
 34 statistically significant. This indicates that the design of a pillow may provide more comfort  
 35 for a patient but that does not necessarily translate to an improvement in health outcomes.

36  
 37 Kushida et al. (1999) evaluated the effects of a custom designed cervical pillow on twelve  
 38 (12) subjects with Obstructive Sleep Apnea Syndrome (OSAS). The subjects were  
 39 comparing their usual pillow against the cervical pillow. The intervention period was one  
 40 (1) week. Outcomes were subjective questionnaires, videotape of head and body position,  
 41 and recording of breathing parameters during sleep. There was a significant improvement  
 42 in the respiratory disturbance index for the three (3) subjects with mild OSAS. The four (4)

1 subjects with moderate OSAS showed no improvement. The five (5) subjects with severe  
2 OSAS showed slight improvement in some of the abnormal respiratory events during the  
3 sleep period.

4  
5 Palazzi et al. (1999) compared the effect of a cervical pillow (Sleep Easy Pillow) with a  
6 standard pillow on fifteen (15) patients with myogenic cranio-cervical mandibular  
7 dysfunction. Electromyography (EMG) activity of the sternocleidomastoid muscles was  
8 recorded in the supine or side lying position (dependent on the subject's normal habit). In  
9 the side lying position, there was a significantly higher EMG reading in the contralateral  
10 sternocleidomastoid muscle for both pillows. This is one of the few studies that did not rely  
11 on the subjects filling out questionnaires or surveys. EMG activity may be a way of helping  
12 to design a pillow that provides more support. There is also the question of whether or not  
13 symmetrical EMG readings would lead to improved sleep or a decrease in  
14 cervical/shoulder symptoms.

15  
16 Santander et al. (2000) compared the effect of head and neck inclination on bilateral  
17 sternocleidomastoid EMG activity in asymptomatic subjects and Congenital Muscular  
18 Dystrophy (CMD) patients. Subjects were tested with: head, neck and body aligned; head  
19 and neck upwardly inclined with a thick pillow; and head and neck downwardly inclined  
20 with a thin pillow. There was a significantly higher contralateral EMG activity and a more  
21 asymmetric activity in the CMD patients. This could indicate that different pillows might  
22 be needed for an individual depending on presence of symptoms.

23  
24 Erfanian et al. (2004) conducted a randomized controlled trial to examine the effects of a  
25 semi-customized cervical pillow on thirty-six (36) adults with chronic neck pain (with and  
26 without headache). They used an experimental pillow with foam quadrants that allowed  
27 the subject to choose between four heights. The outcome measures were a mail-in daily  
28 pain diary and the Canadian Memorial Chiropractic (CMCC) Neck Disability Index (NDI).  
29 The intervention period was four (4) weeks. There was a statistically significant decrease  
30 in reported pain scores and the NDI in the experimental group. Notable is the fact that  
31 eleven (11) of the original thirty-six (36) subjects dropped-out of this study for varying  
32 reasons. The researchers also noted that they were not sure if the subjects were using the  
33 pillow as prescribed.

34  
35 Shields et al. (2006) did a systematic review to see if cervical pillows were effective in  
36 decreasing neck pain. The authors looked for articles where the participants had neck pain  
37 and there were outcome measures for the assessment of pain. Articles involving any  
38 concurrent therapies were excluded. There were one hundred and twenty-seven (127)  
39 articles identified but only five (5) articles of low quality met the selection criteria. There  
40 was not enough evidence at that time to state that cervical pillows could reduce neck pain.

1 Liu et al. (2011) examined the relationship between pillow shape design and subjective  
2 comfort level for asymptomatic patients. They used subjects who only preferred to lay flat  
3 while sleeping. They used eight (8) pillows which were different combinations of design  
4 such as standard, cradle, cervical, and shoulder. Outcome measurement was the subjective  
5 opinion of comfort level, and preferred height and angle of the pillow. The results indicate  
6 that subjects preferred redesigned pillows that were combinations of design, rather than a  
7 specific design. One limitation is that some subjects reported different comfort levels for  
8 the same pillow during the comparison. Also noted is that each subject only laid on a pillow  
9 for one minute before comparing comfort levels.

10  
11 Helewa et al. (2007) examined the effects of therapeutic exercise in combination with  
12 pillows on patients with chronic neck pain. One hundred and fifty-one (151) subjects were  
13 divided into four groups: group 1 was the control; group 2 was the cervical pillow and  
14 placebo; group 3 was active neck exercises and placebo; and group 4 was the combination  
15 of the cervical pillow and active neck exercises. The primary outcome assessment tool was  
16 the Northwick Park Neck Pain Questionnaire (NPQ). The exercise group (group 3) and the  
17 cervical pillow group (group 2) were not statistically different from the control group  
18 (group 1). The combination of exercise and the cervical pillow (group 4) were statistically  
19 significant and supported clinical use. Jamal et al. (2016) reanalyzed data from Helewa, et  
20 al to further characterize the effects of postural exercises and neck support pillows on neck  
21 pain. Results demonstrated that postural exercises significantly decreased NPQ scores at  $\geq$   
22 3 weeks, and the use of a neck support pillow significantly decreased NPQ scores at  $\geq$  12  
23 weeks. Authors conclude that these interventions could be beneficial in reducing neck pain  
24 symptoms.

25  
26 Kiatkulanusorn et al. (2021) investigated neck and back muscle activity in individuals with  
27 and without forward head posture (FHP) during a maintained side-sleeping position by  
28 incorporating various pillow designs. Thirty-four participants were enrolled. The muscle  
29 activity was investigated via surface electromyography during the use of three trial pillows:  
30 orthopedic pillow, hollow pillow, and Thai neck support pillow. For all three pillow  
31 designs, the FHP group demonstrated significantly greater middle-lower trapezius muscle  
32 activity than the normal head posture group. Sternocleidomastoid and upper trapezius (UT)  
33 muscle activity were similar between the two groups. Only UT muscle activity was affected  
34 by variations in pillow design. In the normal group, no difference was observed in the  
35 muscle activity between all three pillows ( $p > 0.05$ ). Authors concluded that the ability to  
36 appropriately modify a pillow configuration without creating undesired muscle activation  
37 was limited to those exhibiting FHP. Therefore, specially designed pillows or mattresses  
38 should be investigated in terms of their relevance to muscle fatigue and potential  
39 musculoskeletal pain in FHP patients.

## 1 **Composition**

2 Gordon et al. (2010) examined whether pillows of different composition produce different  
3 types and frequencies of waking symptoms in asymptomatic subjects. Five (5)  
4 experimental pillows were tested by one hundred and six (106) subjects. The pillows were  
5 polyester, foam regular, foam contour, feather, and latex. Each pillow was tried for a week  
6 and the subjects' own pillow was the control. There was a washout period for each pillow.  
7 Side sleepers only were the subjects. Outcome measures were the recorded reports of  
8 waking cervical stiffness, headache, and scapular/arm pain. Results of the study showed  
9 that the feather pillow provided the highest frequency of waking symptoms and produced  
10 the greatest number of dropouts during the trial. There was no significant difference  
11 between the foam contour pillow and the foam regular pillow. The latex pillow seemed to  
12 perform best. The authors felt that a study examining the effects of a latex pillow on  
13 symptomatic patients would be appropriate.

14  
15 Gordon et al. (2011) examined the effect of pillow shape and composition on the slope of  
16 cervico-thoracic spine segments in a side lying position. Ninety-five (95) subjects who  
17 were not receiving any treatment for neck symptoms were included. The trial pillows were  
18 regular shaped polyester, foam, feather, latex, and a contour shaped foam. Reflective  
19 markers were placed on the external occipital protuberance (EOP), C2, C4, C7, and T3.  
20 Each subject rested on the pillow for ten (10) minutes and digital images were recorded.  
21 The slope of each spinal segment was calculated from these images. At zero (0) and ten  
22 (10) minutes, EOP-C2, C2-C4, C4-C7, C7-T3 segmental slopes were significantly different  
23 across all pillows, although the slope changes were small. The C2-C4 segment seems to be  
24 most sensitive to change. Foam regular, foam contour, and latex pillows support each  
25 segment in a similar manner, as do the polyester and feather pillows.

26  
27 Vanti et al. (2019) investigated the effectiveness of a "spring pillow" for adults with chronic  
28 nonspecific neck pain. Authors evaluated the effectiveness of using a pillow made from  
29 viscoelastic polyurethane and 60 independent springs compared with an educational  
30 intervention in individuals with chronic nonspecific neck pain in a randomized controlled  
31 trial with crossover study design. Participants (n=64) were randomly assigned to 2 groups.  
32 One group used the spring pillow for 4 weeks, and the other group followed educational  
33 advice for 4 weeks while continuing to use their own pillows. After 4 weeks of treatment  
34 and 4 weeks of washout, groups were crossed over. Pain perceived in the neck, thoracic,  
35 and shoulder areas and headache were the primary outcome measures. In addition,  
36 disability, sleep quality, subjective improvement, and pillow comfort were assessed.  
37 Measures were captured at pretreatment, after 4 weeks, after the 4-week washout period,  
38 and 4 weeks after crossover. Results reported that treatment with the spring pillow  
39 appeared to reduce neck pain, thoracic pain, and headache. Reductions in shoulder pain  
40 were not statistically significant between groups. Neither the crossover sequence nor the  
41 period (first vs second intervention administration) significantly affected the results.

1 Authors noted that education may not have been the best comparator for the spring pillow;  
2 drug consumption, actual pillow use, and the implementation of the educational  
3 suggestions as prescribed were not controlled and are limitations to the findings. Authors  
4 concluded that use of the spring pillow in this study was more effective than an educational  
5 intervention for improving cervical, thoracic, and head pain. Whether a spring pillow is  
6 more effective than other ergonomic pillows remains to be tested. Background: In people  
7 without cervical pathologies, changing to a latex or polyester pillow is reported to decrease  
8 waking cervical symptoms. Whether this also occurs for people with spinal degeneration  
9 in the neck is unknown.

10  
11 Chun-Yiu et al. (2021) completed a systematic review and meta-analysis to identify clinical  
12 trials assessing the effect of different types of pillows on neck pain, waking symptoms,  
13 neck disability, sleep quality, and spinal alignment. Thirty-five articles fulfilled the  
14 inclusion criteria of the study. There were nine high-quality studies involving 555  
15 participants. The meta-analysis revealed significant differences favoring the use of rubber  
16 pillows to reduce neck pain. Moreover, waking pain and neck disability were reduced while  
17 the satisfaction rate was enhanced with pillow use. Pillow designs did not influence sleep  
18 quality in patients with chronic neck pain. Authors concluded that the use of spring and  
19 rubber pillows are effective in reducing neck pain, waking symptoms, and disability and  
20 enhancing pillow satisfaction in patients with chronic neck pain. Moreover, there may be  
21 no change in the alignment of the cervical spine in the side-lying position, regardless of the  
22 use of rubber or feather pillows. Rather, the cervical alignment may be significantly  
23 impacted by the shape and height of the pillow.

### 24 **Thermoregulatory Responses**

25 Kawabata and Tokura (1996) compared thermoregulatory responses for two (2) types of  
26 pillows. Heat loss from the head to the surrounding air during sleep might be relevant to  
27 sleep depth (Kawabata et al., 1995). The thermoregulatory responses of a pillow with a  
28 cooling medium of sodium sulfate and ceramic fiber were compared to a polyester pillow.  
29 Five (5) female students volunteered for this study. Each subject slept two (2) nights on  
30 each pillow. The outcome measures were a questionnaire that was filled out after each night  
31 slept, and rectal, forehead, palm and thigh skin temperatures. Heart rate was also measured.  
32 It was concluded that the ceramic fiber pillow produced a slight cooling of the head that  
33 was associated with a deeper, more comfortable sleep.  
34

35  
36 One of the claims of the very commonly recommended Sobakawa pillow is the ability to  
37 keep the head cool. There is not one citation that references the Sobakawa pillow as being  
38 used in a research study.

39  
40 Cervical pillows are being advertised and marketed with a number of different claims.  
41 These pillows supposedly improve cervical support and help preserve the normal cervical

1 curve. One can get a more restful sleep and wake up with fewer symptoms in the morning.  
2 These symptoms include stiffness, headaches, and neck/shoulder/arm pain.

3  
4 The lack of research and low quality of many of these studies do not support any of these  
5 claims. Many of the studies did not use an adequate number of subjects. Intervention  
6 periods in these studies tend to be relatively short so extrapolation cannot be made to long  
7 term effects. There seems to be some evidence that latex pillows might provide some relief  
8 in cervical symptoms. There is also some indication that custom designed pillows may  
9 provide relief of morning pain and decrease stiffness. Pillows that cool the head may also  
10 provide a more restful and comfortable sleep. Some of the studies indicate that it may be  
11 wise to avoid feather pillows. In any case, it is clear that more randomized controlled  
12 studies are needed.

### 13 14 **Cervical Collar** 15 **Restriction of Range of Motion**

16 Gavin et al. (2003) evaluated cervical flexion and extension, when comparing cervical  
17 collars to cervical thoracic orthoses (CTO). Aspen and Miami J models were used as the  
18 cervical collars. Aspen 2-post and 4-post models were the CTOs used. Twenty (20) normal  
19 subjects were studied. Gross sagittal motion of the head was measured at the same time as  
20 cervical intervertebral motion was measured using videofluoroscopy. Surface  
21 electromyographic signal data was also measured to compare the effort used by the subjects  
22 while in the different orthoses. Each orthosis significantly reduced gross and intervertebral  
23 motion in flexion and extension. There was no statistical significance between the Miami  
24 J and Aspen collars in reducing motion except at the C5-6 levels. As half of the cervical  
25 fractures seen in emergency departments are at the C6-7 level, this can be an important  
26 difference. The Aspen 2-post and 4-post models performed similarly in flexion, but the 4-  
27 post model provided significantly more extension restriction.

28  
29 Sandler et al. (1996) compared flexion-extension, axial rotation, and lateral flexion  
30 measurements in five (5) different cervical orthoses. Five (5) subjects were measured both  
31 actively and passively. All of the collars restricted movement to some extent. As would be  
32 expected, the collars ranked from least restrictive to most restrictive went from soft foam  
33 to rigid. The order was soft, Philadelphia, Philadelphia with extension, and sterno-occipital  
34 mandibular immobilizer brace. None of these braces were able to restrict motion  
35 completely. The minimum limitations were 19 degrees in flexion-extension, 46 degrees in  
36 rotation, and 45 degrees in lateral flexion. All of the measurements were for gross motion  
37 and there was no differentiation for specific spinal segments.

38  
39 Miller et al. (2010) compared soft and rigid collars for restricting cervical motion during  
40 fifteen (15) activities of daily living (ADLs). An electrogoniometer device was used to  
41 measure range of motion (ROM) of ten (10) subjects during the ADLs. Active ROM as  
42 well as functional ROM was measured. Range of motion measurements were repeated after

1 the application of the soft collar, and then the rigid orthosis. The rigid collar significantly  
2 restricted ROM in both the sagittal and axial planes, but not in lateral flexion. The rigid  
3 collar was not statistically different in thirteen (13) of the fifteen (15) ADLs when  
4 compared to the soft collar. Greater motion in the rigid orthosis was noted when backing  
5 up a car and sitting from a standing position. Although there was a difference in full active  
6 ROM between the orthoses, this did not translate to functional activity. In many of the  
7 ADLs, full cervical ROM is not used. Rigid orthoses may not be necessary in some  
8 patients, and soft collars, which subjectively seem more comfortable, may restrict activity  
9 enough for ADLs.

10  
11 Whitworth et al. (2011) compared cervical motion between a soft collar and a rigid brace  
12 in fifty (50) healthy subjects. Subjects ranged in age from 22 to 67 years. Active flexion,  
13 extension, right and left lateral flexion, and right and left rotation was measured using a  
14 cervical range of motion goniometer. Both the soft collar and brace reduced cervical  
15 motion compared to no collar, but the rigid brace was significantly more effective at  
16 reducing motion. The soft collar reduced motion on average by 17.4%, and the rigid brace  
17 reduced motion by 62.9%. The effect of the collar and brace was not affected by age. The  
18 choice of a collar or brace might depend on the cervical condition that is being treated. The  
19 lesser reduction may be the better choice in less severe cervical strains.

20  
21 Askins and Eismont (1997) evaluated flexion, extension, lateral flexion, and rotation in  
22 five (5) different rigid cervical orthoses. Ten (10) men and ten (10) women were evaluated  
23 in the Philadelphia collar, the Aspen, the Stifneck, the Miami J, and the NecLoc. Rotation  
24 was measured using a compass goniometer, but all other measurements were done from x-  
25 rays of the cervical spine. All orthoses demonstrated restriction of cervical movement, but  
26 the NecLoc had a statistically significant advantage in restricting movement. Measuring  
27 cervical movement from radiographs may be a problem in this study. Future research  
28 projects should take into account muscle activity that could be altered in an asymptomatic  
29 subject in a rigid brace.

30  
31 James et al. (2004) looked at the cervical spine range of motion (ROM) that occurred during  
32 the application of four (4) rigid cervical collars, the time of application and the amount of  
33 active ROM available after application. The authors noted that 25% of cervical spine  
34 injuries occur after the initial injury. They tested the NecLoc (NL), StifNeck (SN),  
35 StifNeck Select (SNS) and the Rapid Form Vacuum Immobilizer (VI). Seventeen (17)  
36 certified athletic trainers applied the collars to two (2) male models. A repeated-measure  
37 design was used. Data was collected using an electromagnetic tracking device. The results  
38 show that although there was no significant difference for peak angular displacement  
39 (PAD) between collars, PAD did occur which could cause secondary injury. The authors  
40 noted that the ideal cervical brace would be easy and quick to apply, have less movement  
41 during application, and provide the most restriction during active range of motion. The  
42 authors concluded that the SN and SNS were the better options of the models they tested.

1 Holla et al. (2016) reviewed the ability of various types of external immobilizers to restrict  
 2 cervical spine movement. Results demonstrated that the ability to reduce the range of  
 3 motion by soft collars was poor in all directions. The ability of cervico-high thoracic  
 4 devices was moderate for flexion/extension but poor for lateral bending and rotation. The  
 5 ability of cervico-low thoracic devices to restrict flexion/extension and rotation was  
 6 moderate, while their ability to restrict lateral bending was poor. All cranio-thoracic  
 7 devices for non-ambulatory patients restricted cervical spine movement substantial in all  
 8 directions. The ability of vests with non-invasive skull fixation was substantial in all  
 9 directions. No studies with healthy adults were identified with respect to cranial traction  
 10 and halo vests with skull pins and their ability to restrict cervical movement. Authors  
 11 concluded that soft collars have a poor ability to reduce mobility of the cervical spine.  
 12 Cervico-high thoracic devices primarily reduce flexion and extension, but they reduce  
 13 lateral bending and rotation to a lesser degree. Cervico-low thoracic devices restrict lateral  
 14 bending to the same extent as cervico-high thoracic devices, but are considerably more  
 15 effective at restricting flexion, extension, and rotation. Finally, cranio-thoracic devices  
 16 nearly fully restrict movement of the cervical spine.

17  
 18 Oshlag et al. (2020) authored a general article on neck injuries. In athletes, injuries are most  
 19 common in contact sports, and occur with direct axial loading with a forward-flexed neck.  
 20 Soft tissue and peripheral nerve injuries are typically minor and self-limiting, with  
 21 excellent recovery potential and return to activities based on symptoms. Concern for  
 22 devastating spinal cord injuries has led to routine immobilization using spine boards and  
 23 hard cervical collars. They conclude that this approach may provide more harm than benefit  
 24 when applied universally, and a more commonsense protocol can be used to better address  
 25 potential neck injuries. See Kane and Braithwaite (2021) for a summary of cervical collars  
 26 and spinal motion restriction.

### 27 28 **Whiplash Injuries**

29 Kongsted et al. (2007) compared the effect of three (3) different interventions in subjects  
 30 who had a whiplash injury. Patients were recruited within ten (10) days to be in one of the  
 31 following three (3) intervention groups. The first group was immobilization of the cervical  
 32 spine in a rigid collar followed by active mobilization. The second group advised to “act  
 33 as usual”. The third group had an active mobilization program of manual care and exercise.  
 34 Outcome assessment was based in terms of headache, neck pain intensity, disability, and  
 35 work capability. Follow-up was done at three (3), six (6), and twelve (12) months post-  
 36 injury. At the one-year follow-up, 48% of the patients reported considerable neck pain, and  
 37 53% disability independent of their assigned intervention group.

38  
 39 Dehner et al. (2006) compared the relative benefits of two (2) versus ten (10) days of soft  
 40 collar immobilization after acute whiplash injury. Seventy (70) patients with Quebec Task  
 41 Force (QTF) grade II whiplash injuries were randomized to either the two day or ten-day  
 42 immobilization with a soft collar group. Patients were assigned within twenty-four (24)

1 hours of the whiplash injury. All other treatments were equal. All patients received non-  
2 steroidal anti-inflammatory drugs (NSAIDs) and started physiotherapy after seven (7)  
3 days, 2-3 times a week. Outcome measures were pain and disability scores, and range of  
4 motion (ROM) using a goniometer. At both two (2) months and six (6) months there was  
5 no difference in the two groups in terms of pain, ROM, or disability.

6  
7 Rosenfeld et al. (2006) compared costs and results in two (2) different intervention groups  
8 for patients who had whiplash after an automobile accident. One group used exercise and  
9 early mobilization. The other group was a standard recommendation for rest and short-term  
10 immobilization in a cervical collar. This was a randomized controlled trial. Using a cost-  
11 consequence analysis, the authors concluded that the exercise and early mobilization group  
12 were statistically better in reducing pain and reducing sick leave. The exercise and  
13 mobilization group were more effective and less costly than the standard intervention.

14  
15 Ricciardi et al. (2019) performed a systematic review of the randomized control trials  
16 (RCTs) and a pooled analysis in order to investigate the role of the non-rigid cervical  
17 collars (nRCC) for pain management, scored through the visual analogue scale (VAS) and  
18 the range of motion (ROM), by comparing the use of a nRCC (for 1-2 weeks) with a non-  
19 immobilization protocols, regardless of the association with physical therapy (PT). Only  
20 patients with whiplash-associated disorders (WAD) grade I-II were included. A total of  
21 141 papers were reviewed; 6 of them matched the inclusion criteria and were admitted to  
22 the final study. Pooled analysis showed that nRCC does not improve the outcome in terms  
23 of VAS score and ROM trends along the follow-up. Additionally, VAS and ROM trends  
24 seem to further improve at long-term follow-up in non-immobilization associated with PT  
25 group. Authors concluded that this analysis shows the absence of an advantage of the  
26 immobilization protocol with a nRCC after WAD. In contrast, non-immobilization  
27 protocols show an overall better trend of pain relief and neck mobility recovery, regardless  
28 of the association of PT.

29  
30 Christensen et al. (2021) performed a systemic review to investigate the effectiveness of  
31 soft-collar use on pain and disability in WAD. Four RCTs (n = 409) of fair-good quality  
32 (PEDro-scores) were included. Three used a soft collar in addition to other conservative  
33 treatment; one study compared soft-collar use to act-as-usual. All studies found that an  
34 active or act-as-usual approach was more effective in reducing pain intensity compared to  
35 soft-collar use, confirmed by meta-analysis (two RCTs with data: SMD of -0.80 (-1.20, -  
36 0.41)). No studies reported disability outcomes while contrasting results were found  
37 between groups regarding total cervical range of motion (two RCTs with data: SMD of  
38 0.16 (-0.21, 0.54)) or rotation (two RCTs with data: SMD of 0.54 (-0.19, 1.27)). Overall  
39 quality of the evidence was low to very low. The authors concluded that all four RCTs  
40 favored an active approach/act-as-usual over soft-collar treatment. However, due to  
41 methodological concerns and low certainty of evidence, future studies investigating soft

1 collar use in combination with an active rehabilitation strategy for acute/subacute WAD  
2 are needed.

3  
4 Mourad et al. (2021) investigated the impact of the early use of soft cervical collars on the  
5 return to the emergency department (ED), within three months of a road traffic collision.  
6 Patients in the earlier acute phase of WAD (within 48 h from the trauma) were included;  
7 those with serious conditions (WAD IV) were excluded. As an end point, authors  
8 considered patients who returned to the ED complaining of WAD symptoms within three  
9 months as positive outcome for WAD persistence. 2162 patients were included; of those,  
10 85.4% received a soft cervical collar prescription. Further, 8.4% of those with a soft  
11 cervical collar prescription, and 2.5% of those without a soft cervical collar returned to the  
12 ED within three months. The use of the soft cervical collar was an independent risk factor  
13 for ED return within three months, with an OR, adjusted for possible clinical confounders,  
14 equal to 3.418 (95% CI 1.653-7.069;  $p < 0.001$ ). After the propensity score matching,  
15 25.5% of the patients ( $n = 25/98$ ) using the soft cervical collar returned to the ED at three  
16 months, compared to the 6.1% ( $n = 6/98$ ) that did not adopt the soft cervical collar. The  
17 use of a soft cervical collar was associated with ED return with an OR = 4.314 (95% CI  
18 2.066-11.668;  $p = 0.001$ ). Authors concluded that the positioning of the soft collar in a  
19 cohort of patients with acute WAD, following a rear-end car collision, is an independent  
20 potential risk factor to the return to the ED. Clinically, the use of the collar is a non-  
21 recommended practice and seems to be related to an increased risk of delayed recovery.  
22 Future primary studies should determine differences between having used or not having  
23 used the collar, and compare early physical therapy in the ED compared with the utilization  
24 of the collar.

### 25 26 **Cervical Radiculopathy**

27 Kuijper et al. (2009) did a randomized controlled trial to see if a cervical collar or  
28 physiotherapy was better than a wait-and-see policy for early pain relief in cervical  
29 radiculopathy. Two hundred and eight (208) patients who had cervical radiculopathy with  
30 symptoms for one (1) month were assigned to one of three (3) groups. Sixty-nine (69)  
31 patients were put in a semi-hard cervical collar for three (3) weeks and told to rest as much  
32 as possible. They were weaned off collar use for the next three (3) weeks. Seventy (70)  
33 patients were assigned to twice weekly physiotherapy and daily home exercises for six (6)  
34 weeks. Sixty-six (66) patients were assigned to the third group of just waiting. At six (6)  
35 weeks, cervical collars and physiotherapy decreased neck and arm pain more than the wait  
36 group. The semi-hard cervical collars had a greater reduction in neck disability than the  
37 wait group. At six (6) months, there was no difference between groups for any of the  
38 outcome measures. Although both intervention groups reported a decrease in pain, there  
39 was no decrease in the use of pain medications. Thoomes et al. (2013) completed a  
40 systematic review on the effectiveness of conservative treatment for patients with cervical  
41 radiculopathy. Fifteen articles were included that corresponded to 11 studies. Findings  
42 suggest there is low-level evidence that a collar is no more effective than physiotherapy at

1 short-term follow-up and very low-level evidence that a collar is no more effective than  
 2 traction. The authors do conclude that use of a collar and physiotherapy show promising  
 3 results at short-term follow-up. In the 2017 Neck Pain: Revision of the Clinical Practice  
 4 Guidelines Linked to the International Classification of Functioning, Disability and Health  
 5 From the Orthopaedic Section of the American Physical Therapy Association, Blanpied et  
 6 al., states that for patients with acute neck pain with radiating pain, clinicians may provide  
 7 short term use of a cervical collar. However, this is based on grade C evidence, which is  
 8 weak.

9  
 10 The Royal Dutch Society for Physical Therapy (KNGF) authored by Bier et al. (2018)  
 11 issued a clinical practice guideline for physical therapists that addresses the assessment and  
 12 treatment of patients with nonspecific neck pain, including cervical radiculopathy, in Dutch  
 13 primary care. Recommendations were based on a review of published systematic reviews.  
 14 The physical therapist is advised not to use dry needling, low-level laser, electrotherapy,  
 15 ultrasound, traction, and/or a cervical collar. In case of neck pain grade III, the use of a  
 16 cervical collar for pain reduction may be considered. The advice is to use it sparingly: only  
 17 for a short period per day and only for a few weeks.

## 18 **PRACTITIONER SCOPE AND TRAINING**

19 Practitioners should practice only in the areas in which they are competent based on their  
 20 education training and experience. Levels of education, experience, and proficiency may  
 21 vary among individual practitioners. It is ethically and legally incumbent on a practitioner  
 22 to determine where they have the knowledge and skills necessary to perform such services.  
 23

24  
 25 It is best practice for the practitioner to appropriately render services to a patient only if  
 26 they are trained, equally skilled, and adequately competent to deliver a service compared  
 27 to others trained to perform the same procedure. If the service would be most competently  
 28 delivered by another health care practitioner who has more skill and expert training, it  
 29 would be best practice to refer the patient to the more expert practitioner.

30  
 31 Best practice can be defined as a clinical, scientific, or professional technique, method, or  
 32 process that is typically evidence-based and consensus driven and is recognized by a  
 33 majority of professionals in a particular field as more effective at delivering a particular  
 34 outcome than any other practice (Joint Commission International Accreditation Standards  
 35 for Hospitals, 2020).

36  
 37 Depending on the practitioner’s scope of practice, training, and experience, a member’s  
 38 condition and/or symptoms during examination or the course of treatment may indicate the  
 39 need for referral to another practitioner or even emergency care. In such cases it is prudent  
 40 for the practitioner to refer the member for appropriate co-management (e.g., to their  
 41 primary care physician) or if immediate emergency care is warranted, to contact 911 as

1 appropriate. See the *Managing Medical Emergencies (CPG 159 – S)* clinical practice  
2 guideline for information.

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