Clinica	ll Practice Guideline:	Deep Heating Modalities (Therapeutic Ultrasound and Diathermy)
Date of	f Implementation:	June 16, 2016
Produ	et:	Specialty
		Related Policies: CPG 121: Passive Physiotherapy (Therapeutic) Modalities CPG 135: Physical Therapy Medical Policy/Guideline CPG 155: Occupational Therapy Medical Policy/Guideline CPG 278: Chiropractic Services Medical Policy / Guideline
Tabl GUIDE	e of Contents	
DESCH	RIPTION/BACKGROUND	
Ultra	sound	
U	trasound Contraindications and	Precautions
Diatl	nermy	
SV	VD Contraindications and Prec	autions
EVIDE	NCE REVIEW	
Ultra	sound	
Diatl	nermy	
PRACT	TITIONER SCOPE AND TRA	INING
Referen	ices	
CUID	ET INES	
I.	American Specialty He ultrasound (not mist/low deep heat to a specific an increase the flexibility o the use of ultrasound ap neuromas, symptomatic joint motion that require	ealth – Specialty (ASH) considers use of therapeutic requency) as medically necessary for patients requiring rea for reduction of pain, spasm, and joint stiffness, and to f muscles, tendons, and ligaments. Specific indications for plication include but are not limited to the patient having soft tissue calcification or tightened structures limiting an increase in extensibility

II. ASH considers use of diathermy medically necessary for the delivery of heat to
 deep tissues such as skeletal muscle and joints for the reduction of pain, joint
 stiffness, and muscle spasm. It has been determined that high energy pulsed wave
 diathermy machines produce the same therapeutic benefit as standard diathermy;
 therefore, these treatments are considered reasonable and necessary for the same
 indications as standard diathermy.

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8 Diathermy or therapeutic ultrasound application is not considered medically necessary for 9 the treatment of asthma, bronchitis, or any other pulmonary condition.

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11 Notes Related to Guidelines

Use of the term "ultrasound" in this document refers to therapeutic ultrasound and notdiagnostic ultrasound.

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ASH peer review clinical committees recommend the following guidelines for the use of
 passive therapeutic modalities:

- Generally used to manage the acute inflammatory response, pain, and/or muscle 17 tightness or spasm in the early stages of musculoskeletal and related condition 18 management (e.g., short term and dependent upon patient condition and 19 presentation; a few weeks). When the symptoms that prompted the use of certain 20 passive therapeutic modalities begin to subside (e.g., reduction of pain, 21 inflammation, and muscle tightness) and function improves, the medical record 22 should reflect the discontinuation of those modalities, so as to determine the 23 patient's ability to self-manage any residual symptoms. 24
- Use in the treatment of sub-acute or chronic conditions beyond the acute 25 inflammatory response time frame requires documentation of the anticipated 26 27 benefit and condition-specific rationale (e.g., exacerbation, inclusion with active care as an alternative for pharmacological management of chronic pain) to be 28 considered medically necessary. Passive therapeutic modalities can be appropriate 29 in these situations when they are preparatory and essential to the safe and effective 30 delivery of other skilled therapeutic procedures (e.g., chiropractic manipulation, 31 therapeutic exercise, acupuncture) that are considered medically necessary. 32
- Used as a <u>stand-alone treatment</u> is rarely therapeutic, and thus not required or indicated as the sole treatment approach to a patient's condition. Therefore, a treatment plan should <u>not</u> consist solely of passive therapeutic modalities but should also include skilled therapeutic procedures (e.g., chiropractic manipulation, therapeutic exercise, acupuncture).
- Should be selected based on the most effective and efficient means of achieving the patient's functional goals. Seldom should a patient require more than one (1) or two
 (2) passive therapeutic modalities to the same body part during the therapy session.
 Use of more than two (2) passive therapeutic modalities on a single visit date and

Page 2 of 35

- for a prolonged period is unusual and should be justified in the documentation for 1 consideration of medical necessity. 2 3 General Medical Necessity Criteria that must be met in addition to criteria above. 4 The patient's condition has the potential to improve or is improving in response to 5 • this therapy service 6 • This therapy service is intended to improve, adapt or restore functions which have 7 been impaired or lost as a result of illness, injury, loss of a body part, or congenital 8 9 abnormality • The use of this therapy service is applied only for a brief period in the early stages 10 of treatment or during the acute period of an exacerbation/flare-up of the patient's 11 condition(s) and is used as preparatory to other skilled treatment procedures or is 12 necessary in order to provide other skilled treatment procedures safely and 13 effectively 14 • The use of this therapy service (e.g., dosage, frequency) corresponds with the 15 current nature, status, and severity of the patient's condition(s) 16 The use of this therapy service is decreased as the patient displays improvement 17 • and the plan of care transitions into other skilled treatment procedures that can 18 safely and effectively restore, adapt or improve the patient's impaired function(s) 19 The use of this therapy service is safe and effective for the patient's condition, and 20 • the patient is able to properly provide the necessary feedback for its safe application 21 The use of this therapy service is not redundant with other therapy services used on • 22 the same body part during the same session and is not duplicative with another 23 practitioner's treatment plan 24
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26 **CPT® Codes and Descriptions**

CPT [®] Code	CPT[®] Code Description
97024	Application of a modality to 1 or more
	areas; diathermy (e.g., microwave)
97035	Application of a modality to 1 or more
	areas; ultrasound, each 15 minutes

27

28 DESCRIPTION/BACKGROUND

Deep heating modalities such as ultrasound or diathermy are used for that purpose. Increased tissue temperature increases nerve conduction velocity and firing rates. Some studies have also found that heat will increase pain thresholds and reduce muscle strength (initial 30 minutes following heat application). Heat will also increase the metabolic rate, thus any heating agents should be avoided or used with caution in patients with acute inflammation (Cameron, 2022).

Page 3 of 35

1 Ultrasound

Therapeutic ultrasound is a deep heat modality delivering high frequency mechanical 2 waves using acoustic energy. Vibration of molecules transmits their energy into adjacent 3 molecules. The therapeutic effects of ultrasound result from the conversion of sound to 4 heat energy. In the body, ultrasonic energy is more rapidly attenuated and converted from 5 acoustic energy to thermal energy in dense tissues, such as ligaments, tendons, and other 6 connective tissues, than in less dense muscle or even less dense adipose tissue. And it is 7 reflected by bone. Thus, tissues lying immediately next to bone can receive an even greater 8 dosage of ultrasound, as much as 30% more. Ultrasound typically employs frequencies 9 between 0.75 and 3.3 MHz. Most machines allow delivery of both 1 MHz and 3 MHz with 10 11 1 MHz penetrating more deeply than 3 MHz.

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Ultrasound has a variety of effects considered thermal and nonthermal. Increasing tissue 13 temperature is a thermal effect, while an increase in membrane permeability is its 14 nonthermal effects. Continuous ultrasound provides the thermal effects, while pulsed 15 ultrasound provides nonthermal effects. The goals are to enhance healing when applied to 16 the appropriate condition and at the appropriate time. Phonophoresis is the use of 17 ultrasound to enhance the delivery of a transdermal drug application. The most common 18 use of ultrasound is to treat tendonitis and bursitis, musculoskeletal pain, degenerative 19 20 arthritis, and contractures. Maximal heating may be limited by deep tissue factors and not by skin tolerance. Ultrasound may be applied directly by placing the applicator on the skin 21 using a coupling medium, or indirectly by immersing the body part and applicator in a 22 water-filled container. Because of the importance of appropriate technique and inherent 23 dangers, ultrasound should be applied by a trained attendant and the devices are not 24 appropriate for unsupervised home use. 25

26

27 <u>Ultrasound Contraindications and Precautions</u>

- 28 Contraindications to the use of ultrasound include:
- Malignant tumor
- 30 Pregnancy
- 31 Central Nervous Tissue
- 32 Joint cement
- Plastic components
 - Pacemaker or implantable cardiac rhythm device
 - Thrombophlebitis
- 36 Eyes
- Reproductive organs
- 38

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- 39 Precautions for ultrasound include:
- 40 Acute inflammation
- Epiphyseal plates

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approval 08/06/2024 To QOC for review and approval 08/19/2024 QOC reviewed and approval 08/19/2024 Page 4 of 35

• Fractures

• Breast implants

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4 **Diathermy**

Diathermy is another form of deep heat. Newer applications also allow for a pulsed mode, 5 which reduces the thermal properties. Diathermy has the added benefit of large joint or 6 area coverage versus ultrasound. Shortwave diathermy uses electromagnetic energy to 7 provide heating and other physiologic effects. The type of tissue affects how deep or how 8 9 warm the area will become. The most common device delivers 27.12 MHz frequency waves from the short wavelength radio wave section of the electromagnetic spectrum and 10 is commonly referred to as shortwave diathermy (SWD). Devices that deliver 11 electromagnetic waves from the microwave range of the spectrum are known as microwave 12 diathermy; however, these machines are no longer an acceptable form of diathermy for 13 delivery of deep heat due to the dangers associated with the treatment. SWD can be 14 delivered continuously or through regular pulses. Pulsed SWD (PSWD) uses a timing 15 circuit to pulse energy and thus, delivers less heat. Pulsed shortwave diathermy (PSWD) 16 has also been referred to as pulsed electromagnetic field (PEMF), pulsed radiofrequency 17 (PRF), and pulsed electromagnetic energy (PEME). The benefits of thermal level SWD 18 include pain control, accelerated tissue healing and decreased joint stiffness with 19 subsequent increased range of motion. PSWD can also provide thermal effects depending 20 upon the settings. 21

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23 SWD Contraindications and Precautions

- 24 The use of thermal shortwave diathermy (SWD) is contraindicated for the following:
 - Any metal in the treatment area or on/in the body.
 - Malignancy
 - Eyes
 - Testes
 - Growing epiphyses
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Contraindications for all forms of SWD:

- Implanted or transcutaneous neural stimulators including cardiac pacemakers
- Pregnancy
- 35 Precautions for all forms of SWD:
 - Near electronic or magnetic equipment
 - Obesity
 - Copper-bearing intrauterine contraceptive devices
- 38 39
- 40 The use of deep heating modalities are contraindicated if the patient cannot provide the
- 41 proper feedback necessary for safe application (e.g., pediatric patient, impaired mentation).

1 EVIDENCE REVIEW

2 <u>Ultrasound</u>

Therapeutic ultrasound is typically used for decreasing soft tissue inflammation and pain 3 and or increasing tissue extensibility, scar tissue remodeling, and healing soft tissue 4 injuries. Despite its use, the evidence for its effectiveness has not been well documented. 5 Critical analysis of the literature demonstrates poor study design, inappropriate parameters, 6 clinical error, and variability of patient responsiveness, which may explain why results 7 show ultrasound as ineffective. Gaps in research do not allow for conclusive evidence that 8 US provides the clinical effects described. Most systematic reviews of RCTs concluded 9 that studies were insufficient to demonstrate conclusively that US is more effective than 10 placebo. Poor study design was a consistent finding (Cameron, 2022). The Philadelphia 11 Panel Evidence-Based Clinical Practice Guidelines on Selected Rehabilitation 12 Interventions for Low Back Pain publication (2001) investigated ultrasound. Based on one 13 RCT of therapeutic ultrasound versus placebo, no benefit was demonstrated for pain in 14 subjects with chronic LBP after one month of therapy. The strength of this evidence was 15 rated as fair (level II). The Panel concluded that there is poor evidence to include or exclude 16 therapeutic ultrasound alone as an intervention for chronic LBP. Similarly, the American 17 College of Physicians and the American Pain Society Joint Clinical Practice Guideline for 18 the Diagnosis and Treatment of LBP (Chou et al., 2007) concluded that there was not 19 20 enough evidence to support the use of ultrasound or short-wave diathermy for acute or chronic LBP. These results were based on systematic reviews and randomized trials of one 21 or more of the aforementioned therapies for treatment of acute or chronic LBP that reported 22 pain outcomes, back specific function, general health status, work disability or patient 23 satisfaction (Chou and Huffman, 2007). The Philadelphia Panel found many studies that 24 combined treatment methods, however they lacked sufficient data to make any 25 recommendations due to the different combinations used and poor descriptions of actual 26 interventions. In a review by Poitras and Brosseau (2008), they determined that due to 27 limited studies of sufficient quality, no recommendations could be made for the use of 28 ultrasound for the treatment of chronic LBP. There is insufficient evidence to support the 29 isolated use of ultrasound as a treatment for chronic LBP. 30

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In 2001, Robertson and Baker published a comprehensive systematic review that called 32 33 into question the effectiveness of therapeutic ultrasound. Major limitations in the existing literature on ultrasound at the time were the lack of consistency among soft tissue 34 conditions studied and the wide variety of parameters used for ultrasound frequency, 35 intensity, and dose. Subsequent Cochrane reviews focused on the effectiveness of 36 ultrasound for various musculoskeletal conditions. Cochrane reviews did not support the 37 use of therapeutic US for patellofemoral pain (1 RCT) or acute ankle sprain (5 RCTs, 1 38 39 favorable) With the exception of calcific tendinitis, ultrasound was not found to be effective for the treatment of shoulder pain in two separate reviews (Philadelphia Panel 40 Practice Guidelines, 2001; Michener et al., 2004). The Ottawa Panel Evidence-Based 41 Clinical Practice Guidelines supported the use of US for managing rheumatoid arthritis 42

1 affecting the hand (Ottawa Panel Evidence-Based Clinical Practice Guidelines, 2004). A

2 Cochrane review in 2001 did not support the use of ultrasound for osteoarthritis of the knee

based on 3 RCTs that met inclusion criteria, with only 1 study of high quality (Welch et al., 2001).

5

Shanks et al. (2010) completed a literature review on the effectiveness of therapeutic 6 ultrasound for musculoskeletal conditions of the lower limb. Ten studies out of a possible 7 15 were included in the review. Only one trial was considered high quality, and 6 trials 8 were considered low or poor quality. None of the 6 placebo-controlled trials found any 9 statistically significant differences between true and sham ultrasound therapy. Authors 10 11 concluded that there is currently no high-quality evidence available to suggest that therapeutic ultrasound is effective for musculoskeletal conditions of the lower limb. 12 Graham et al. (2013) completed a systematic review on physical modalities for acute to 13 chronic neck pain. Of 103 reviews eligible, 20 were included and 83 were excluded. No 14 benefit was noted for pulsed US over placebo for whiplash associated disorder. Moderate 15 evidence reported that pulsed ultrasound was no better than placebo for acute whiplash 16 associated disorder, chronic myofascial neck pain or subacute to chronic neck pain. The 17 evidence does not support the isolated use of ultrasound for non-specific neck pain (Grades 18 I and II). 19

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A 2004 systematic review of therapy for lateral epicondylitis supported the use of 21 ultrasound to relieve pain based on positive findings in 4 out of 6 RCTs (Trudel et al., 22 2004). Dingemanse et al. (2014) aimed to present an evidence-based overview of the 23 effectiveness of electrophysical modality treatments for both medial and lateral 24 epicondylitis (LE). A total of 2 reviews and 20 RCTs were included, all of which concerned 25 LE. Different electrophysical regimes were evaluated: ultrasound, laser, electrotherapy, 26 ESWT, TENS and pulsed electromagnetic field therapy. Moderate evidence was found for 27 the effectiveness of ultrasound versus placebo on mid-term follow-up. Ultrasound plus 28 friction massage showed moderate evidence of effectiveness versus laser therapy on short-29 term follow-up. For all other modalities only limited/conflicting evidence for effectiveness 30 or evidence of no difference in effect was found. Potential effectiveness of ultrasound for 31 the management of LE was found. 32

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Carpal tunnel syndrome was a condition that did show promise as being affected positively 34 by US treatments. A Cochrane review in 2003 concluded there was moderate evidence for 35 the effectiveness of ultrasound for carpal tunnel syndrome after 7 weeks of treatment, with 36 the benefit maintained at 6 months (O'Connor et al., 2003). More RCTs have offered some 37 additional support for the use of ultrasound for carpal tunnel syndrome. Bakhtiary and 38 39 Rashidy-Pour (2004) compared pulsed 1 MHz US to low level laser treatments for 50 patients (90 hands) with EMG confirmed carpal tunnel syndrome. Patients were treated 40 daily for 3 weeks. The ultrasound group had significantly greater improvement in pain, 41 motor and sensory latency, and motor and sensory amplitude compared to the laser group 42

at the end of treatment and at 4-week follow-up. Piravej and Boonhong (2004) showed that 1 continuous ultrasound and a placebo drug was more effective than sham ultrasound plus 2 Diclofenac at increasing median nerve action potentials, with both groups improving with 3 respect to clinical parameters. A study by Baysal et al. (2006) suggested that ultrasound in 4 combination with splinting and exercise produced greater patient satisfaction at 8-week 5 follow-up than splinting and exercise or ultrasound and exercise alone, with similar 6 improvements in symptoms noted among the groups. 7 8 However, according to a Cochrane review (2013), there is only poor-quality evidence from 9

very limited data to suggest that therapeutic ultrasound may be more effective than placebo 10 11 for either short- or long-term symptom improvement in people with carpal tunnel syndrome. There is also insufficient evidence to support ultrasound over other non-surgical 12 interventions. Authors concluded that improved study design is needed to determine the 13 effectiveness of ultrasound. In a Cochrane review by Ebadi et al. (2014), no high-quality 14 evidence was found to support the use of ultrasound for improving pain or quality of life 15 in patients with non-specific chronic LBP. There was some evidence that therapeutic 16 ultrasound has a small effect on improving low-back function in the short term, but this 17 benefit is unlikely to be clinically important. 18

19

According to the AHRQ publication on Non-Invasive Techniques for Low Back Pain (2016):

- For chronic low back pain, a systematic review found no difference between ultrasound versus sham ultrasound in pain at the end of treatment and two trials found no effects on pain. Evidence from 5 trials was too inconsistent to determine effects on function, though a larger, good-quality trial found no effect on the Roland Disability Questionnaire (RDQ).
- For chronic low back pain, a systematic review found no differences between ultrasound versus no ultrasound in pain or back-specific function, but estimates were imprecise.
- For chronic low back pain, evidence from 3 trials was insufficient to determine
 effects of ultrasound plus exercise versus exercise alone on pain or function, due to
 imprecision and methodological shortcomings.
- For radicular low back pain due to spinal stenosis, a small trial found no differences
 between ultrasound plus exercise versus sham ultrasound plus exercise in back
 pain, leg pain, or the Oswestry Disability Index (ODI) after 3 weeks of therapy.
- There was insufficient evidence from three small trials with methodological shortcomings to determine effects of ultrasound versus other interventions.
- For radiculopathy, there was insufficient evidence from two small trials with
 methodological shortcomings to determine effects of ultrasound versus other
 interventions.
- No study evaluated the effectiveness of ultrasound for acute non-radicular low back
 pain.

Page 8 of 35

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approval 08/06/2024 To QOC for review and approval 08/19/2024 QOC reviewed and approved 08/19/2024

- 1 2
- One trial found no differences between ultrasound versus sham ultrasound in risk of any adverse event.
- 3 In a Lancet article by Foster et al. (2018), they conclude that passive electrical or physical 4 modalities, such as ultrasound, are generally ineffective and not recommended for the 5 treatment of low back pain. Although the apeutic ultrasound is not recommended in recent 6 clinical guidelines, it is frequently used by physiotherapists in the treatment of chronic 7 LBP. In an update of a Cochrane Review published in 2014, Ebadi et al. (2020) again 8 reviewed the evidence to determine the effectiveness of therapeutic ultrasound in the 9 management of chronic non-specific LBP as their primary objective. A secondary objective 10 was to determine the most effective dosage and intensity of therapeutic ultrasound for 11 chronic LBP. Authors included RCTs on therapeutic ultrasound for chronic non-specific 12 LBP. We compared ultrasound (either alone or in combination with another treatment) with 13 placebo or other interventions for chronic LBP. 14
- 15

They performed a meta-analysis when sufficient clinical and statistical homogeneity 16 existed. They included 10 RCTs involving a total of 1,025 participants with chronic LBP. 17 The included studies were carried out in secondary care settings in Turkey, Iran, Saudi 18 Arabia, Croatia, the UK, and the USA, and most applied therapeutic ultrasound in addition 19 to another treatment, for six to 18 treatment sessions. The risk of bias was unclear in most 20 21 studies. The results demonstrate that there was very low-certainty evidence (downgraded for imprecision, inconsistency, and limitations in design) of little to no difference between 22 therapeutic ultrasound and placebo for short-term pain improvement. There was also 23 24 moderate-certainty evidence (downgraded for imprecision) of little to no difference in the number of participants achieving a 30% reduction in pain in the short term. There was low-25 certainty evidence (downgraded for imprecision and limitations in design) that therapeutic 26 27 ultrasound has a small effect on back-specific function compared with placebo in the short term), but this effect does not appear to be clinically important. There was moderate-28 certainty evidence (downgraded for imprecision) of little to no difference between 29 therapeutic ultrasound and placebo on well. Two studies (n = 486) reported on overall 30 improvement and satisfaction between groups, and both reported little to no difference 31 between groups (low-certainty evidence, downgraded for serious imprecision). One study 32 33 (n = 225) reported on adverse events and did not identify any adverse events related to the intervention (low-certainty evidence, downgraded for serious imprecision). No study 34 reported on disability for this comparison. We do not know whether therapeutic ultrasound 35 in addition to exercise results in better outcomes than exercise alone because the certainty 36 of the evidence for all outcomes was very low (downgraded for imprecision and serious 37 limitations in design). The estimate effect for pain was in favor of the ultrasound plus 38 exercise group at short term. Regarding back-specific function and well-being, 2 RCTs; 39 general health subscale of the SF-36), there was little to no difference between groups at 40 short term. No studies reported on the number of participants achieving a 30% reduction 41 in pain, patient satisfaction, disability, or adverse events for this comparison. Authors 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approved 08/19/2024 OOC reviewed and approved 08/19/2024 Page 9 of 35

concluded that evidence from this systematic review is uncertain regarding the effect of therapeutic ultrasound on pain in individuals with chronic non-specific LBP. Whilst there is some evidence that therapeutic ultrasound may have a small effect on improving low back function in the short term compared to placebo, the certainty of evidence is very low. The true effect is likely to be substantially different. There are few high-quality randomized trials, and the available trials were very small. The current evidence does not support the use of therapeutic ultrasound in the management of chronic LBP.

8

9 Noori et al. (2020) evaluated the effectiveness of therapeutic ultrasound in the management of patients with chronic LBP and neck pain. The search strategy identified 10 trials that 10 met the criteria for inclusion. Three studies in LBP reported that both therapeutic and sham 11 (placebo) ultrasound provided significant improvement in pain intensity. In each of these 12 studies, ultrasound was found to be more effective than placebo when using only one of 13 several validated instruments to measure pain. Three of the four studies on neck pain 14 demonstrated significant pain relief with ultrasound in combination with other treatment 15 modalities. However, only one of these studies demonstrated that the use of ultrasound was 16 the cause of the statistically significant improvement in pain intensity. Authors concluded 17 that given the paucity of trials and conflicting results, they cannot recommend the use of 18 monotherapeutic ultrasound for chronic LBP or neck pain. It does seem that ultrasound 19 may be considered as part of a physical modality treatment plan that may be potentially 20 helpful for short-term pain relief; however, it is undetermined which modality may be 21 superior. In both pain syndromes, further trials are needed to define the true effect of low-22 intensity ultrasound therapy for axial back pain. No conclusive recommendations may be 23 made for optimal settings or session duration. 24

25

Qing et al. (2021) evaluated the effects and safety of therapeutic ultrasound in patients with 26 neck pain. Randomized controlled trials that compared the effects of therapeutic ultrasound 27 on neck pain were included in this review. The included studies compared therapeutic 28 ultrasound plus other treatments with the other treatments alone or compared therapeutic 29 ultrasound with sham or no treatment. Outcome measures involved the effects on pain, 30 disability, and quality of life. Other treatments included all nonultrasonic therapies (e.g., 31 32 various exercises, massage, electrotherapy). Twelve randomized controlled trials (705 patients) fulfilled the inclusion criteria. Seven studies compared therapeutic ultrasound 33 plus other treatments vs the other treatments alone (449 patients). Therapeutic ultrasound 34 vielded additional benefits for pain, but there was high heterogeneity, and we could not 35 draw a clear conclusion. Ultrasound did not have a better effect on disability or quality of 36 37 life when it was combined with other treatments. Five studies compared therapeutic ultrasound with sham or no treatment (256 patients), and the pooled data showed that 38 therapeutic ultrasound significantly reduced pain intensity. No adverse events of 39 40 therapeutic ultrasound were reported in the included studies. Authors concluded that therapeutic ultrasound may reduce the intensity of pain more than sham or no treatment, 41 and it is a safe treatment. Whether therapeutic ultrasound in combination with other 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 10 of 35

conventional treatments produced additional benefits on pain intensity, disability, or
 quality of life is not clear. The randomized trials included in this review had different levels
 of quality and high heterogeneity. A large trial using a valid methodology is warranted.

4

Zhang et al. (2016) explored the effects of therapeutic ultrasound with sham or no 5 intervention on pain, physical function, and safety outcomes in patients with knee 6 osteoarthritis. Ten randomized controlled trials (645 patients) met the inclusion criteria. 7 Therapeutic ultrasound showed a positive effect on pain. For physical function, therapeutic 8 ultrasound was advantageous for reducing Western Ontario and McMaster Universities 9 (WOMAC). No occurrence of adverse events caused by therapeutic ultrasound was 10 11 reported in any trial. Authors suggest that therapeutic ultrasound is beneficial for reducing knee pain and improving physical functions in patients with knee osteoarthritis and could 12 be a safe treatment. Bier et al. (2018) reports that physical therapists should not provide 13 ultrasound for non-specific neck pain. Wu et al. (2019) assessed the effectiveness and 14 safety of therapeutic ultrasound with sham ultrasound on pain relief and functional 15 improvement in knee osteoarthritis patients. As phonophoresis is a unique therapeutic 16 ultrasound, we also compared the effects of phonophoresis with conventional non-drug 17 ultrasound. Randomized controlled trials comparing therapeutic ultrasound with sham 18 ultrasound in knee osteoarthritis patients were included. Phonophoresis in the experimental 19 20 and control groups were compared through conventional ultrasound, and corresponding trials were also included. Fifteen studies including three phonophoresis-related studies with 21 1,074 patients were included. Meta-analyses demonstrated that therapeutic ultrasound 22 significantly relieved pain and reduced the WOMAC physical function score. In addition, 23 therapeutic ultrasound increased the active range of motion. Subgroup analysis of 24 phonophoresis ultrasound illustrated significant differences on the visual analogue scale 25 (VAS), but no significant differences on WOMAC pain subscales, and total WOMAC 26 scores were observed. There was no evidence to suggest that ultrasound was unsafe 27 treatment. Authors concluded that therapeutic ultrasound is a safe treatment to relieve pain 28 and improve physical function in patients with knee osteoarthritis. However, 29 phonophoresis does not produce additional benefits to functional improvement, but may 30 relieve pain compared to conventional non-drug ultrasound. According to Yang and Chen 31 (2019) therapeutic ultrasound has shown some success in treating calcific tendinitis of the 32 33 shoulder and lateral epicondylitis. Low intensity pulsed ultrasound may provide relief for Achille's tendinopathy. 34

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Aiyer et al. (2020) completed a systematic review was to evaluate the effectiveness of therapeutic ultrasound in the management of patients with knee, shoulder, and hip pain. The search strategy identified 8 trials for knee, 7 trials for shoulder and 0 trials for hip that met the criteria for inclusion. All 8 trials showed improvement in knee pain, and of these studies 3 showed statistical significance improvement for therapeutic ultrasound versus the comparator. For shoulder pain, all 7 trials showed reduction in pain, but should be noted that 4 of studies demonstrated that therapeutic ultrasound is inferior to the comparator

Page 11 of 35

modality. Authors concluded that therapeutic ultrasound is frequently used in the treatment 1 of knee, shoulder and hip pain and is often combined with other physiotherapeutic 2 modalities. The literature on knee arthritis is most robust, with some evidence supporting 3 therapeutic ultrasound, though the delivery method of ultrasound (pulsed vs continuous) is 4 controversial. As a monotherapy, ultrasound treatment may not have a significant impact 5 on functional improvement but can be a reasonable adjunct to consider with other common 6 modalities. In all three pain syndromes, especially for hip pain, further trials are needed to 7 define the true effect of low-intensity ultrasound therapy knee, shoulder, and hip pain. No 8 conclusive recommendations may be made for optimal settings or session duration. 9 Papadopoulos and Mani (2020) investigated the clinical effectiveness of therapeutic 10 11 ultrasound in musculoskeletal acute and chronic pain, mainly through the control of inflammation and the promotion of soft tissue injury healing. Based on the evidence 12 presented, authors state it is clinically effective in some musculoskeletal soft tissue pain 13 conditions, but due to conflicting results in some studies, no specific positive 14 recommendations can be made, nor does it permit exclusion of therapeutic ultrasound from 15 clinical practice. There is scope for improving the evidence base with better designed 16 studies. 17

18

Dantas et al. (2021) aimed to determine the effects of therapeutic ultrasound on knee 19 20 osteoarthritis (KOA) symptoms in a systematic review. Four studies (N = 234 participants) were eligible for inclusion in our primary analyses assessing therapeutic ultrasound versus 21 sham. The methodological quality of the included RCTs ranged from moderate to very low. 22 Treatment with therapeutic ultrasound resulted in small, statistically significant benefits 23 for pain (approximate 9.6% improvement on a 0-100 VAS) and self-reported measures of 24 function (approximate 12.8% improvement on a 0-100 VAS). The overall quality of the 25 evidence was very low. No adverse events were reported in any of the included studies. 26 Authors concluded that the use of therapeutic ultrasound may provide additional benefits 27 to physical therapy regimens in terms of symptom relief in individuals with KOA. 28 However, it is not possible to make any meaningful recommendations for clinical practice 29 due to the small number of applicable RCTs and the low methodological quality of the 30 RCTs deemed eligible for this study. 31

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33 Sung et al. (2022) conducted a systematic review and meta-analysis to evaluate the effects of ultrasound deep heat therapy (UST) on the improvement of pain and glenohumeral joint 34 function in adhesive capsulitis compared to (1) no treatment or placebo, and (2) any other 35 therapeutic modalities. Seven studies were included in the systematic review with five 36 studies forming the basis for meta-analyses. The effects of UST in patients with adhesive 37 capsulitis were compared with placebo, shockwave therapy, corticosteroid injection, 38 39 platelet-rich plasma injection, or cryotherapy. The results indicated that UST significantly improved pain scores when performed together with exercise and/or other physical 40 modalities compared to placebo; however, whether UST provides benefits for the 41 improvement of disability and/or the range of motion was uncertain in the present results. 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 12 of 35

1 Authors concluded that these findings suggest that UST as a co-intervention combined with

2 other physical modalities is an effective means of improving the overall pain in patients

- 3 with adhesive capsulitis.
- 4

Smallcomb et al. (2022) compares the current state of the field in therapeutic ultrasound 5 and shockwave therapy, including low-intensity therapeutic ultrasound, extracorporeal 6 shockwave therapy, and radial shockwave therapy, and evaluates the efficacy in treating 7 tendinopathies with ultrasound. Surgical and therapeutic methods, such as arthroscopic 8 surgery, dry needling, and physical therapy, produce mixed success in reintroducing a 9 healing response in tendinopathy due in part to inconsistent dosing and monitoring. 10 Ultrasound is one therapeutic modality that has been shown to noninvasively induce 11 bioeffects in tendon that may help promote healing. However, results from this modality 12 have also been mixed. Based upon this literature review, authors found that the mixed 13 successes may be attributed to the wide variety of achievable parameters within each 14 broader treatment type and the lack of standardization in measurements and reporting. 15 Despite mixed outcomes, all three therapies show potential as an alternative therapy with 16 lower-risk adverse effects than more invasive methods like surgery. There is currently 17 insufficient evidence to conclude which ultrasound modality or settings are most effective. 18 More research is needed to understand the healing effects of these different therapeutic 19 20 ultrasound and shockwave modalities.

21

Liu et al. (2022) compared the efficacy of therapeutic ultrasound in pain relief and 22 functional recovery in knee osteoarthritis. Fourteen randomized trials covering 1,080 23 patients with treatment durations of 2 to 24 weeks were included. Both pulsed and 24 continuous therapy had obvious pain relief effects, and high-intensity (>1.5 W/cm2) 25 ultrasound seemed more effective. In addition, therapeutic ultrasound was also effective in 26 increasing joint function as assessed by WOMAC. There was a certain degree of 27 heterogeneity due to the differences between the subjects in the study and the ultrasound 28 parameter settings. According to authors, analysis confirmed that both pulsed and 29 continuous ultrasound are effective and safe for pain relief and functional recovery of knee 30 osteoarthritis, especially in high intensity (> 1.5 W/cm2). However, more high-quality 31 randomized controlled trials will be necessary. 32

33

Oliveira et al. (2022) aimed to assess the effects of passive mechanical-based therapies 34 (isolated or combined with other therapies) on patients with knee OA compared to placebo, 35 other isolated or combined interventions. They included 77 clinical studies. Ultrasound and 36 ESWT statistically improved pain and disability comparing to placebo (combined or not 37 with other therapies), and when added to other therapies versus other therapies alone. 38 39 Ultrasound was statistically inferior to phonophoresis (combined or not with other therapies) in reducing pain and disability for specific therapeutic gels and/or combined 40 therapies. All meta-analyses showed very-low certainty of evidence, with 15 of 42 (38%) 41 pooled comparisons being statistically significant (weak to large effect). Authors conclude 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 13 of 35

that despite the inconsistent evidence with very-low certainty, the potential benefits of passive mechanical-based therapies should not be disregard and cautiously recommended

- passive mechanical-based therapies should not be disregard and
 that clinicians might use them in some patients with knee OA.
- 4

Yang et al. (2022) investigated the effect of phonophoresis when various gel types were 5 used. They included studies that were randomized controlled trials (RCTs), included 6 patients with a diagnosis of knee osteoarthritis, included treatment with either 7 phonophoresis or therapeutic ultrasound with placebo gel, and reported clinical and 8 functional outcomes. A total of 2,176 studies were retrieved and analyzed (nine RCTs 9 including 423 patients). The intervention group significantly outperformed the control 10 group in pain scores with NSAID gel and in the WOMAC function score with 11 corticosteroid gel. Phonophoresis alleviated pain and improved functional performance. 12 Because of some limitations of this study, additional high-quality, large-scale RCTs are 13 required to confirm the benefits. 14

15

Čota et al. (2022) aimed to determine whether 4500 J T-US combined with therapeutic 16 exercises is superior to therapeutic exercises alone regarding calcification size reduction 17 and symptom improvement in chronic symptomatic Calcific shoulder tendinitis (CST). 18 Patients with chronic CST were analyzed. The 46 patients with confirmed CST via 19 20 sonograph were divided into two groups (56 shoulders, 26 per group). Both groups performed the same therapeutic exercises for half an hour under physiotherapist 21 supervision. In the treatment group T-US (4500 J, 10 minutes per session at a frequency of 22 1 MHz and an intensity of 1.5 W/cm2), and in the placebo group, sham T-US was applied 23 for 4 weeks. Patients were assessed for: calcification size, shoulder pain, global health 24 (GH), shoulder mobility (ROM), handgrip strength, Health Assessment Questionnaire 25 Disability Index (HAQ-DI), Shoulder Pain and Disability Index (SPADI), and overall 26 rehabilitation satisfaction. All assessed variables improved in both groups. A significantly 27 greater reduction in calcification size was recorded in the treatment group compared to 28 placebo. There was a significantly greater decrease in HAQ-DI, reduction of VAS GH, and 29 an increase in hand grip strength in the treatment group, while no significant differences 30 were observed for other parameters between the groups. Results showed that adding the 31 4500 J T-US to therapeutic exercises in chronic symptomatic CST therapy resulted in 32 33 greater calcification size reduction immediately following the treatment, as well as hand grip strength, HAQ-DI, and VAS GH improvement. 34

35

Peris Moya et al. (2022) performed a systematic review and meta-analysis of randomized controlled trials of studies with carpal tunnel syndrome treated by: ultrasound versus no treatment, therapeutic ultrasound versus sham ultrasound, ultrasound and usual care versus usual care, or ultrasound and other intervention versus the same intervention. The outcomes measures registered were pain, severity of symptoms, function, strength, and neurophysiological parameters (motor distal latency and sensory distal latency) of the median nerve. Ten clinical trials met the inclusion criteria for the systematic review. Eight

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 14 of 35

trials were meta-analyzed, which included a total of 2,069 patients with carpal tunnel 1 syndrome. The methodological quality of the included studies ranged among limited (5 2 trials), moderate (3 trials), and high (2 trials). In one of the electrophysiological parameters 3 (motor distal latency), a significant difference between groups after the use of ultrasound 4 was observed. No significant differences between groups were observed at post-treatment 5 for pain, severity of symptoms, function, strength and for the rest of the 6 electrophysiological parameters evaluated. Authors concluded that the use of ultrasound 7 on patients with carpal tunnel syndrome seems to improve motor distal latency. This 8 finding implies a partial improvement at the neurophysiological level, representing a 9 reduction in the grade of clinical severity. Additional clinical trials with a high 10 11 methodological quality are needed to investigate the doses at which ultrasound are most effective. 12

13

14 Dorji et al. (2022) sought to determine the effectiveness of ultrasound/phonophoresis as an adjuvant to exercise or manual therapy for the improvement of patient-centered outcomes 15 in adults with non-specific neck pain (NSNP). Six studies involving 249 participants were 16 included. Phonophoresis with capsaicin plus exercise improved pain at immediate post-17 treatment but not with diclofenac sodium plus exercise as compared to exercise. 18 Continuous ultrasound (CUS) plus exercise improved pain and pressure pain threshold 19 20 (PPT) at immediate post-treatment and at intermediate term as compared to exercise. CUS or high-power pain threshold (HPPT) ultrasound plus manual therapy and exercise showed 21 no benefit for pain reduction did not improve function/disability at immediate or short-term 22 as compared to manual therapy and exercise. Authors concluded that due to high risk of 23 bias, inconsistency, and indirectness, the quality of evidence is very low in support of 24 benefit of ultrasound/phonophoresis as an adjuvant treatment for NSNP. Clinicians using 25 ultrasound therapy as an adjuvant intervention for management of chronic myofascial 26 associated neck pain should carefully consider the available evidence on ultrasound, 27 including the benefits and costs involved. 28

29

Dabbagh et al. (2023) summarized, synthesized, and integrated the evidence evaluating the 30 effectiveness of biophysical agents compared to other conservative treatments, for the 31 management of carpal tunnel syndrome (CTS). This was an overview of systematic reviews 32 33 (SRs). Authors found 17 SRs addressing 12 different biophysical agents. The quality of the SRs was mainly critically low (n = 16) or low (n = 1). The evidence was inconclusive for 34 the effectiveness of Low-level Laser therapy and favorable for the short-term efficacy of 35 non-thermal ultrasound in improving symptom severity, function, pain, global rating of 36 improvement, satisfaction with treatment, and other electrophysiological measures 37 compared to manual therapy or placebo. Evidence was inconclusive for Extracorporeal 38 39 Shockwave therapy, and favorable for the short-term effectiveness of Shortwave and Microwave Diathermy on pain and hand function. The findings were based on low-quality 40 primary studies, with an unclear or high risk of bias, small sample sizes, and short follow-41 ups. Therefore, no recommendations can be made for the long-term effectiveness of any 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 15 of 35

biophysical agents. High-quality evidence is needed to support evidence-based
 recommendations on the use of biophysical agents in the management of CTS.

3

Alhakami et al. (2024) evaluated the effectiveness of therapeutic ultrasound in decreasing 4 pain intensity and improving functional disability in patients with plantar fasciitis. Five 5 randomized control trials (RCT) were selected based on an electronic search in PubMed, 6 All the included studies showed that ultrasound therapy is beneficial in reducing pain score 7 and improving functional disability, except one study did not recommend using ultrasound 8 therapy for plantar fasciitis. Moreover, regarding another outcome measure, two studies 9 found that ultrasound therapy reduces thickness and tenderness in plantar fasciitis and 10 improves static and dynamic balance. Authors concluded that after reviewing the five 11 studies, this systematic review support using ultrasound therapy to decrease pain and 12 improve functional disability in patients with plantar fasciitis. 13

14 15 Dieth

15 **Diathermy**

Research has found increased soft tissue extensibility resulting in increased muscle length 16 or range of motion. Nonthermal PSWD has been studied for numerous effects. Several 17 studies demonstrated edema control and pain reduction, improved wound healing and 18 tendon injury, Osteoarthritis (OA) symptoms have been shown to decrease upon use of 19 20 PSWD in some studies, in particular knee or cervical spine OA (Cameron, 2022). Studies appear to support the use of some form of diathermy compared to ultrasound, placebo, or 21 no treatment, but no minimal additive effect when combined with exercise or manual 22 therapy (Cameron, 2022; Teslim et al., 2012; Draper, 2011). The American College of 23 Physicians and the American Pain Society Joint Clinical Practice Guideline for the 24 Diagnosis and Treatment of LBP (Chou et al., 2007) concluded that there was not enough 25 evidence to support the use of ultrasound or short-wave diathermy for acute or chronic 26 LBP. These results were based on systematic reviews and randomized trials of one or more 27 of the aforementioned therapies for treatment of acute or chronic LBP that reported pain 28 outcomes, back specific function, general health status, work disability or patient 29 satisfaction (Chou and Huffman, 2007). According to the AHRQ publication on Non-30 Invasive Techniques for Low Back Pain (2016): 31

- For back pain of mixed duration, there was insufficient evidence from 5 RCTs to
 determine effects of short-wave diathermy versus sham diathermy, due to
 methodological limitations and imprecision.
 - No study evaluated harms of short-wave diathermy.
- 35 36

There is insufficient evidence to support the isolated use shortwave diathermy as a treatment for chronic LBP.

- 39
- 40 Cetin et al. (2008) investigated the therapeutic effects of physical agents administered 41 before isokinetic exercise in women with knee osteoarthritis. One hundred patients with 42 bilateral knee osteoarthritis were randomized into 5 groups of 20 patients each: group 1

Page 16 of 35

received short-wave diathermy + hot packs and isokinetic exercise; group 2 received 1 transcutaneous electrical nerve stimulation + hot packs and isokinetic exercise; group 3 2 received ultrasound + hot packs and isokinetic exercise; group 4 received hot packs and 3 isokinetic exercise; and group 5 served as controls and received only isokinetic exercise. 4 Pain and disability index scores were significantly reduced in each group. Patients in the 5 study groups had significantly greater reductions in their visual analog scale scores and 6 scores on the Lequesne index than did patients in the control group (group 5). They also 7 showed greater increases than did controls in muscular strength at all angular velocities. In 8 most parameters, improvements were greatest in groups 1 and 2 compared with groups 3 9 and 4. Authors concluded that using physical agents before isokinetic exercises in women 10 11 with knee osteoarthritis leads to augmented exercise performance, reduced pain, and improved function. Hot pack with a transcutaneous electrical nerve stimulator or short-12 wave diathermy had the best outcome. Akyol et al. (2010) completed a RCT to determine 13 if SWD increases the effectiveness of isokinetic exercise on pain, function, knee muscle 14 strength, quality of life, and depression in the patients with knee OA. Forty women aged 15 between 42 and 74 years, with a diagnosis of bilateral primary knee OA were randomized 16 into two groups. Group 1 (N=20) received SWD and isokinetic muscular strengthening 17 exercises. Group 2 (N=20) served as control group, and they received isokinetic exercises 18 only. Both programs were performed 3 days a week, for 4 weeks, and a total of 12 sessions. 19 20 Patients were assessed before treatment, after treatment, and at a 3-month follow-up. Outcome measures included visual analogue scale, Western Ontario and McMaster 21 University Osteoarthritis Index, 6-minute walking distance, isokinetic muscle testing, 22 Short Form 36 and Beck depression index. The patients with OA in each group had 23 significant improvements in pain, disability, depression, walking distance, muscle strength, 24 and quality of life when compared with their initial status (P<0.05). Authors concluded that 25 use of SWD in addition to isokinetic exercise program seems to have no further significant 26 effect in terms of pain, disability, walking distance, muscle strength, quality of life and 27 depression in patients with knee OA. 28

29

Page et al. (2014) completed a Cochrane Review on electrotherapy modalities for adhesive 30 capsulitis (frozen shoulder). The two main questions of the review focused on whether 31 electrotherapy modalities are effective compared to placebo or no treatment, or if they are 32 33 an effective adjunct to manual therapy or exercise (or both). The main outcomes of interest were participant-reported pain relief of 30% or greater, overall pain, function, global 34 assessment of treatment success, active shoulder abduction, quality of life, and the number 35 of participants experiencing any adverse event. Nineteen trials (1,249 participants) were 36 included in the review. Only two electrotherapy modalities (low-level laser therapy (LLLT) 37 and pulsed electromagnetic field therapy (PEMF)) have been compared to placebo. The 38 39 two main questions of the review were investigated in nine trials. Authors were uncertain whether PEMF for two weeks improved pain or function more than placebo at two weeks 40 because of the very low-quality evidence from one trial (32 participants). Seventy-five 41 percent (15/20) of participants reported pain relief of 30% or more with PEMF compared 42

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 17 of 35

with 0% (0/12) of participants receiving placebo. Fifty-five percent (11/20) of participants 1 reported total recovery of joint function with PEMF compared with 0% (0/12) of 2 participants receiving placebo. Based on very low-quality evidence from six trials, authors 3 were uncertain whether therapeutic ultrasound, PEMF, continuous short-wave diathermy, 4 Iodex phonophoresis, a combination of Iodex iontophoresis with continuous short wave 5 diathermy, or a combination of therapeutic ultrasound with transcutaneous electrical nerve 6 stimulation (TENS) were effective adjuncts to exercise. Based on low or very low-quality 7 evidence from 12 trials, we were uncertain whether a diverse range of electrotherapy 8 modalities (delivered alone or in combination with manual therapy, exercise, or other 9 active interventions) were effective than other active interventions (for example 10 11 glucocorticoid injection).

12

Draper (2014) reported on 6 cases of patients who lacked full range of motion (ROM) in 13 the elbow because of trauma. The treatment regimen was thermal pulsed shortwave 14 diathermy and joint mobilizations. Patients lacked a mean active ROM of 24.5° of 15 extension approximately 4.8 years after trauma or surgery. Treatment consisted of 20 16 minutes of pulsed shortwave diathermy followed by 7 to 8 minutes of joint mobilizations. 17 After posttreatment ROM was recorded, ice was applied to the area for about 30 minutes. 18 Once the patient achieved full, active ROM or failed to improve on 2 consecutive visits, he 19 20 or she was discharged from the study. By the fifth treatment, 4 participants (67%) achieved normal extension active ROM, and 2 of the 4 (50%) exceeded the norm. Five participants 21 (83%) returned to normal activities and full use of their elbows. One month later, the 5 22 participants had maintained, on average, (mean ± SD) 92% ± 6% of their final 23 measurements. Draper (2014) suggested that a combination of thermal pulsed shortwave 24 diathermy and joint mobilizations was effective in restoring active ROM of elbow 25 extension in 5 of the 6 patients (83%) who lacked full ROM after injury or surgery. 26 Incebiyik et al. (2015) sought to determine the effects of short-wave diathermy (SWD) 27 treatment on mild and moderate idiopathic carpal tunnel syndrome (CTS). The study 28 involved 58 wrists in 31 patients diagnosed clinically and electrophysiologically with mild 29 and moderate CTS. They were assigned randomly to one of two groups. Group 1 received 30 a hot pack, SWD, and nerve and tendon gliding exercises and Group 2 received a hot pack, 31 placebo SWD, and nerve and tendon gliding exercises. The treatment was applied five 32 33 times weekly for a total of 15 sessions. All parameters improved significantly in the SWD group versus the controls (p < 0.05). Thus, authors concluded that SWD provided short-34 term improvements in pain, clinical symptoms, and hand function in patients with mild and 35 moderate CTS. 36

37

Fukuda et al. (2011) evaluated the effect of PSW treatment in different doses and compared low-dose and high-dose PSW groups with control and placebo groups. One hundred twenty-one women with a diagnosis of knee OA participated in the study; 35 participants did not receive any treatment (control group), 23 received a placebo treatment, 32 received low-dose PSW treatment, and 31 received high-dose PSW treatment The results

Page 18 of 35

demonstrated the short-term effectiveness of the PSW at low and high doses in patients 1 with knee OA. Both treatment groups showed a significant reduction in pain and 2 improvement in function compared with the control and placebo groups. There were no 3 differences in results between PSW doses, although a low dose of PSW appeared to be 4 more effective in the long term. Authors suggest that PSWD may be an effective method 5 for pain relief and improvement of function and quality of life in the short term in women 6 with knee OA. Laufer and Dar (2012) assessed the effectiveness of short-wave diathermy 7 (SWD) treatment in the management of knee osteoarthritis (KOA) and to assess whether 8 the effects are related to the induction of a thermal effect. Included were trials that 9 compared the use of SWD treatment in patients diagnosed with KOA with a control group 10 11 (placebo SWD treatment or no intervention) and studies that used high-frequency electromagnetic energy (i.e., 27.12 MHz) with sufficient information regarding treatment 12 dosage. Seven studies were included in the final analysis. Treatment protocols (dosage, 13 duration, number of treatments) varied extensively between studies. The meta-analysis of 14 the studies with low mean power did not favor SWD treatment for pain reduction, while 15 the results of studies employing some thermal effects were significant. No treatment effect 16 on functional performance measures was determined. Authors reported that this meta-17 analysis found small, significant effects on pain and muscle performance only when SWD 18 evoked a local thermal sensation. However, the variability in the treatment protocols makes 19 20 it difficult to draw definitive conclusions about the factors determining the effectiveness of SWD treatment. Teslim et al. (2013) compared the effects of pulsed (PSWD) and 21 continuous short-wave diathermy (CSWD) on pain, range of motion, pulse rate and skin 22 temperature in subjects with chronic knee osteoarthritis. The pain experienced by 23 participants in the CSWD group was significantly lower than that of the PSWD groups (P 24 < 0.03) after 4 weeks. Also, both active and passive knee range of motions significantly 25 increased in the CSWD group compared to that of PSWD group (p < 0.01 and 0.002). 26 Authors concluded that CSWD was more effective than PSWD in alleviating pain and in 27 increasing knee flexion range of motion among subjects with chronic knee OA. Also, a 28 mild elevation of skin temperature was able to elicit physiological effects that could exert 29 therapeutic effects. D'Sylva et al. (2010) assessed the effect of 1) manipulation and 30 mobilization, 2) manipulation, mobilization, and soft tissue work, and 3) manual therapy 31 with physical medicine modalities on pain, function, patient satisfaction, quality of life 32 33 (QoL), and global perceived effect (GPE) in adults with neck pain. Moderate quality evidence suggested mobilization, manipulation and soft tissue techniques decrease pain 34 and improved satisfaction when compared to short wave diathermy, and that this treatment 35 combination paired with advice and exercise produces greater improvements in GPE and 36 satisfaction than advice and exercise alone for acute neck pain. Boyaci et al. (2013) 37 compared the efficacy of three different deep heating modalities: phonophoresis (PH), 38 39 short-wave diathermy (SWD), and ultrasound (US), in knee osteoarthritis. Patients who consented to participate in the study were randomly divided into the following three 40 groups. Group 1 (n = 33) received PH, Group 2 (n = 33) received US, and Group 3 (n =41 35) received SWD. Each of the three physical therapy modalities was applied 5 days a 42

week for 2 weeks (a total of 10 sessions). The results of the study showed that VAS, 15-m 1 walking time, and WOMAC parameters were improved with all three deep heating 2 modalities and all the three modalities were effective. However, there was no significant 3 difference between the three modalities in terms of efficacy. There was also no significant 4 difference between the three groups in terms of post-treatment general evaluation of the 5 physician and the patient. Authors suggest that choosing one of PH/US/SWD therapy 6 options would provide effective results and none of them are superior to the others. 7 8 According to the American College of Physician's clinical practice guideline (2017) on 9 noninvasive treatments for acute, subacute, and chronic low back pain, evidence was 10 insufficient to determine the effectiveness of short-wave diathermy and ultrasound. In a 11

Lancet article by Foster et al. (2018), they conclude that passive electrical or physical modalities, such as shortwave diathermy, are generally ineffective and not recommended for the treatment of low back pain.

15

Wang et al. (2017) evaluated the efficacy and safety of short-wave therapy with sham or 16 no intervention for the management of patients with knee osteoarthritis. Studies included 17 randomized controlled trials compared with a sham or no intervention in patients with knee 18 osteoarthritis. Eight trials (542 patients) met the inclusion criteria. The effect of short-wave 19 20 therapy on pain was found positive. The pain subgroup showed that patients received pulse modality achieved clinical improvement and the pain scale in female patients decreased. 21 In terms of extensor strength, short-wave therapy was superior to the control group. There 22 was no significant difference in the physical function. For adverse effects, there was no 23 significant difference between the treatment and control group. Authors concluded that 24 short-wave therapy is beneficial for relieving pain caused by knee osteoarthritis (the pulse 25 modality seems superior to the continuous modality), and knee extensor muscle combining 26 with isokinetic strength. Function is not improved. Chou et al. (2018) reports that clinicians 27 should not use short wave diathermy for low back and neck pain, given lack of 28 effectiveness. Babaei-Ghazani et al. (2020) explored the effectiveness of shortwave 29 diathermy on pain, function, and grip strength of patients with chronic lateral epicondylitis. 30 Fifty patients suffering from lateral epicondylitis for more than 3 months, without any 31 systemic diseases or history of other pathologies, were divided into two groups. In both 32 33 groups, the patients were instructed to perform specific stretching and strengthening exercises. In addition, the patients in the experimental group, received 15 min of 40-60 W, 34 continuous short-wave diathermy while sham diathermy was applied for the control group. 35 The primary outcome measure was pain and the secondary outcome measures were 36 functional ability and pain free grip strength. Outcomes were assessed at the base line, after 37 the 5th and the 10th session of treatment as well as after 3 months. Authors concluded that 38 adding continuous short-wave diathermy to a specific regimen of exercises, reduces pain 39 and improves function in patients suffering from chronic lateral epicondylitis more than 40 sham diathermy and exercise. 41

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 OOC reviewed and approved 08/19/2024 Page 20 of 35

Wu et al. (2018) investigate the efficacy and safety of the pulsed electromagnetic field 1 (PEMF) therapy in treating osteoarthritis (OA) in a meta-analysis. Twelve trials were 2 included, among which ten trials involved knee OA, two involved cervical OA and one 3 involved hand OA. The PEMF group showed more significant pain alleviation than the 4 sham group in knee OA and hand OA, but not in cervical OA. Similarly, comparing with 5 the sham-control treatment, significant function improvement was observed in the PEMF 6 group in both knee and hand OA patients, but not in patients with cervical OA. Sensitivity 7 analyses suggested that the exposure duration ≤ 30 min per session exhibited better effects 8 compared with the exposure duration >30 min per session. Three trials reported adverse 9 events, and the combined results showed that there was no significant difference between 10 11 PEMF and the sham group. Authors concluded that PEMF could alleviate pain and improve physical function for patients with knee and hand OA, but not for patients with cervical 12 OA. Meanwhile, a short PEMF treatment duration (within 30 min) may achieve more 13 favorable efficacy. However, given the limited number of study available in hand and 14 cervical OA, the implication of this conclusion should be cautious for hand and cervical 15 OA. 16

17

de Paula Gomes et al. (2020) analyzed the clinical effects of the inclusion of interferential 18 current therapy (ICT), shortwave diathermy therapy (SDT) and photobiomodulation 19 20 (PHOTO) into an exercise program in patients with knee OA. 100 volunteers aged 40 to 80 years with knee OA were recruited. Participants were allocated into five groups: 21 exercise, exercise + placebo, exercise + ICT, exercise + SDT, and exercise + PHOTO. The 22 outcome measures included WOMAC, numerical rating pain scale (NRPS), pressure pain 23 threshold (PPT), self-perceived fatigue and sit-to-stand test (STST), which were evaluated 24 before and after 24 treatment sessions at a frequency of three sessions per week. Authors 25 concluded that the addition of ICT, SDT or PHOTO into an exercise program for 26 individuals with knee OA is not superior to exercise performed in isolation in terms of 27 clinical benefit. Yang et al. (2020) aimed to examine the effects of PEMF therapy and 28 PEMF parameters on symptoms and quality of life (QOL) in patients with OA. Sixteen 29 studies were included in our systematic review, while 15 studies with complete data were 30 included in the meta-analysis. Authors concluded that compared with placebo, there was a 31 beneficial effect of PEMF therapy on pain, stiffness, and physical function in patients with 32 33 OA. Duration of treatment may not be a critical factor in pain management. Further studies are required to confirm the effects of PEMF therapy on QOL. 34

35

Early osteoarthritis (EOA) still represents a challenge for clinicians. Exercise remains a core treatment for EOA; however, several physical modalities are commonly used in this population. Letizia Maura et al. (2021) investigated the role of physical agents in the treatment of EOA. A technical expert panel (TEP) of 8 medical specialists with expertise in physical agent modalities and musculoskeletal conditions performed the review. Authors found preclinical and clinical data on transcutaneous electrical nerve stimulation (TENS), extracorporeal shockwave therapy (ESWT), low-intensity pulsed ultrasound (LIPUS),

Page 21 of 35

pulsed electromagnetic fields stimulation (PEMF), and whole-body vibration (WBV) for 1 the treatment of knee EOA. We found two clinical studies about TENS and PEMF and six 2 preclinical studies-three about ESWT, one about WBV, one about PEMF, and one about 3 LIPUS. The preclinical studies demonstrated several biological effects on EOA of physical 4 modalities, suggesting potential disease-modifying effects. However, this role should be 5 better investigated in further clinical studies, considering the limited data on the use of 6 these interventions for EOA patients. Sun et al. (2021) assessed the effectiveness of pulsed 7 electromagnetic field (PEMF) on pain and physical function in patients with low back pain. 8 Authors included randomized controlled trials that investigated the effectiveness of PEMF 9 in patients with low back pain. The primary outcome was pain intensity, and the secondary 10 11 outcome was physical function, both were evaluated by assessment scales. Fourteen trials involving 618 participants were included. The PEMF treatment showed more significant 12 pain alleviation than placebo or other therapy alone in patients with low back pain. In 13 addition, a significant difference in pain alleviation was observed in patients with chronic 14 low back pain, whereas no significant difference was observed in patients with acute low 15 back pain. PEMF did not improve physical function compared with the control treatment. 16 Authors concluded that PEMF is beneficial for alleviating pain in patients with chronic low 17 back pain despite having no advantage in improving physical function. 18

19

20 Jia et al. (2022) compared the efficacy and safety of focused low-intensity pulsed ultrasound (FLIPUS) with pulsed shortwave diathermy (PSWD) in subjects with painful 21 knee osteoarthritis (OA). In a prospective randomized trial, 114 knee OA patients were 22 randomly allocated to receive FLIPUS or PSWD therapy. The primary outcome was the 23 change from baseline in the WOMAC total scores. Secondary outcomes included the 24 numerical rating scale (NRS) for pain assessment, time up and go (TUG) test, active joint 25 range of motion (ROM) test, and Global Rating of Change (GRC) scale. Data were 26 collected at baseline, 12 days, 12 weeks, and 24 weeks. Patients receiving FLIPUS therapy 27 experienced significantly greater improvements in the WOMAC total scores than patients 28 receiving PSWD therapy at 12 days. The results of the NRS, TUG test, ROM test and GRC 29 scale showed that participants treated with FLIPUS reported less pain and better physical 30 function and health status than those treated with PSWD at 12 days. Furthermore, patients 31 in the FLIPUS group showed significant improvements in the WOMAC total scores and 32 33 NRS scores at 12 weeks and 24 weeks of follow-up. There were no adverse events during or after the interventions in either group. This study concluded that both FLIPUS and 34 pulsed SWD are safe modalities, and FLIPUS was more effective than PSWD in alleviating 35 pain and in improving dysfunction and health status among subjects with knee OA in the 36 37 short term.

38

Markovic et al. (2022) synthesized the current knowledge on the use of PEMF in OA. Overall, 69 studies were identified. 10 studies were included in the final analysis. All studies focused on knee OA, and 4 studies also reported on cervical, 2 on hand, and 1 on ankle OA. In terms of the level of evidence and bias, most studies were of low or medium

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 QOC reviewed and approved 08/19/2024 Page 22 of 35

quality. Most concurrence was observed for pain reduction, with other endpoints such as stiffness or physical function showing a greater variability in outcomes. Authors concluded that PEMF therapy appears to be effective in the short term to relieve pain and improve function in patients with OA. The existing studies used very heterogeneous treatment schemes, mostly with low sample sizes and suboptimal study designs, from which no sufficient proof of efficacy can be derived.

7

Tong et al. (2022) aimed to assess the efficacy of PEMF on the major symptoms of patients 8 with OA compared with efficacy of other interventions. Randomized controlled trials 9 (RCTs) investigating OA patients treated with PEMF and with pain, stiffness, and physical 10 11 function impairment since 2009 were included. The VAS and WOMAC scores were used for assessment. Eleven RCTs consisting of 614 patients were enrolled in this meta-analysis, 12 of which 10 trials comprised knee OA and 1 comprised hand OA. Compared with the 13 control groups, the PEMF treatment yielded a more favorable output. PEMF alleviated pain 14 and restored physical function. Authors concluded that PEMF therapy ameliorates OA 15 symptoms such as pain, stiffness, and physical function in patients compared to other 16 conservative treatments. 17

18

Kandemir et al. (2024) evaluated the 3-month effects of pulsed electromagnetic field 19 20 therapy (PEMF) in the treatment of subacromial impingement syndrome (SIS). Of the 250 individuals screened for eligibility, participants with a diagnosis of SIS (N=80) were 21 randomized to intervention or control groups. The first group received PEMF + exercise 22 and the second group received sham PEMF + exercise 5 days a week for a total of 20 23 sessions. Visual Analog Scale (VAS), Constant Murley Score (CMS), Shoulder Pain and 24 Disability Index (SPADI), Short Form-36 (SF-36) Quality of Life Questionnaire, and 25 shoulder muscle strength measurement with an isokinetic dynamometer. Evaluations were 26 performed before treatment (T0), after treatment (T1), and 12th week (T2). Evaluation at 27 T1 and T2 showed improvement in most parameters in both groups compared with 28 baseline. In the comparison between the 2 groups at T1 and T2, more improvement was 29 found in the PEMF group in most parameters. Authors concluded that based on their study, 30 PEMF was found to be superior to sham PEMF in terms of pain, ROM, functionality, and 31 quality of life at the first and third months. 32

33

34 PRACTITIONER SCOPE AND TRAINING

Practitioners should practice only in the areas in which they are competent based on their education, training, and experience. Levels of education, experience, and proficiency may vary among individual practitioners. It is ethically and legally incumbent on a practitioner to determine where they have the knowledge and skills necessary to perform such services and whether the services are within their scope of practice.

- 40
- It is best practice for the practitioner to appropriately render services to a member only if they are trained, equally skilled, and adequately competent to deliver a service compared

CPG 274 Revision 10 – S Deep Heating Modalities (Therapeutic Ultrasound and Diathermy) **Revised – August 19, 2024** To CQT for review 07/08/2024 CQT reviewed 07/08/2024 To QIC for review and approval 08/06/2024 QIC reviewed and approved 08/06/2024 To QOC for review and approval 08/19/2024 QOC reviewed and approved 08/19/2024

Page 23 of 35

1 to others trained to perform the same procedure. If the service would be most competently

- delivered by another health care practitioner who has more skill and training, it would be
 best practice to refer the member to the more expert practitioner.
- 4

5 Best practice can be defined as a clinical, scientific, or professional technique, method, or 6 process that is typically evidence-based and consensus driven and is recognized by a 7 majority of professionals in a particular field as more effective at delivering a particular 8 outcome than any other practice (Joint Commission International Accreditation Standards 9 for Hospitals, 2020).

10

Depending on the practitioner's scope of practice, training, and experience, a member's condition and/or symptoms during examination or the course of treatment may indicate the need for referral to another practitioner or even emergency care. In such cases it is prudent for the practitioner to refer the member for appropriate co-management (e.g., to their primary care physician) or if immediate emergency care is warranted, to contact 911 as appropriate. See the *Managing Medical Emergencies (CPG 159 – S)* clinical practice guideline for information.

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Page 33 of 35

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Page 34 of 35

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